

**Board of Governors of the Federal Reserve System**



**Annual Report of Holding Companies—FR Y-6**

**Report at the close of business as of the end of fiscal year**

This Report is required by law: Section 5(c)(1)(A) of the Bank Holding Company Act (12 U.S.C. § 1844(c)(1)(A)); sections 8(a) and 13(a) of the International Banking Act (12 U.S.C. §§ 3106(a) and 3108(a)); sections 11(a)(1), 25, and 25A of the Federal Reserve Act (12 U.S.C. §§ 248(a)(1), 602, and 611a); and sections 113, 165, 312, 618, and 809 of the Dodd-Frank Act (12 U.S.C. §§ 5361, 5365, 5412, 1850a(c)(1), and 5468(b)(1)). Return to the appropriate Federal Reserve Bank the original and the number of copies specified.

This report form is to be filed by all top-tier bank holding companies, top-tier savings and loan holding companies, and U.S. intermediate holding companies organized under U.S. law, and by any foreign banking organization that does not meet the requirements of and is not treated as a qualifying foreign banking organization under Section 211.23 of Regulation K (12 C.F.R. § 211.23). (See page one of the general instructions for more detail of who must file.) The Federal Reserve may not conduct or sponsor, and an organization (or a person) is not required to respond to, an information collection unless it displays a currently valid OMB control number.

NOTE: The *Annual Report of Holding Companies* must be signed by one director of the top-tier holding company. This individual should also be a senior official of the top-tier holding company. In the event that the top-tier holding company does not have an individual who is a senior official and is also a director, the chairman of the board must sign the report. If the holding company is an ESOP/ESOT formed as a corporation or is an LLC, see the General Instructions for the authorized individual who must sign the report.

I, Mickey C. Schwarzbek  
 Name of the Holding Company Director and Official  
President/CEO  
 Title of the Holding Company Director and Official

attest that the *Annual Report of Holding Companies* (including the supporting attachments) for this report date has been prepared in conformance with the instructions issued by the Federal Reserve System and are true and correct to the best of my knowledge and belief.

*With respect to information regarding individuals contained in this report, the Reporter certifies that it has the authority to provide this information to the Federal Reserve. The Reporter also certifies that it has the authority, on behalf of each individual, to consent or object to public release of information regarding that individual. The Federal Reserve may assume, in the absence of a request for confidential treatment submitted in accordance with the Board's "Rules Regarding Availability of Information," 12 C.F.R. Part 261, that the Reporter and individual consent to public release of all details in the report concerning that individual.*

[Signature]  
 Signature of Holding Company Director and Official  
02/23/2021  
 Date of Signature

For holding companies not registered with the SEC—  
 Indicate status of Annual Report to Shareholders:

is included with the FR Y-6 report  
 will be sent under separate cover  
 is not prepared

**For Federal Reserve Bank Use Only**

RSSD ID \_\_\_\_\_  
 C.I. \_\_\_\_\_

**Date of Report** (top-tier holding company's fiscal year-end):

December 31, 2020  
 Month / Day / Year

Not Applicable  
 Reporter's Legal Entity Identifier (LEI) (20-Character LEI Code)

Sherwood Banc Corporation  
 Reporter's Name, Street, and Mailing Address

105 N Harrison Street PO Box 4546  
 Legal Title of Holding Company

Sherwood Ohio 43556  
 (Mailing Address of the Holding Company) Street / P.O. Box  
 City State Zip Code

105 N Harrison Street  
 Physical Location (if different from mailing address)

Person to whom questions about this report should be directed:  
Mickey C. Schwarzbek President/CEO  
 Name Title

419-899-2111 Ext 110  
 Area Code / Phone Number / Extension

419-899-4535  
 Area Code / FAX Number

mickey@sherwood.bank  
 E-mail Address

www.sherwood.bank  
 Address (URL) for the Holding Company's web page

Is confidential treatment requested for any portion of this report submission?  No  Yes

In accordance with the General Instructions for this report (check only one),

1. a letter justifying this request is being provided along with the report

2. a letter justifying this request has been provided separately ...

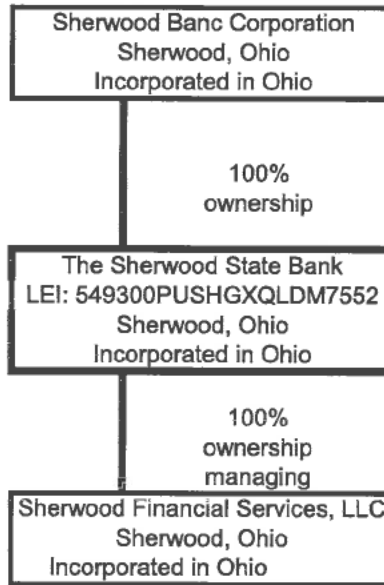
NOTE: Information for which confidential treatment is being requested must be provided separately and labeled as "confidential."

**Form FR Y-6**  
**Sherwood Banc Corporation**  
**Sherwood, OH**  
**Fiscal Year Ending 12/31/2020**

Report Item

1: The bank holding company prepares an annual report for the shareholders and is not registered with the SEC. As specified by the Federal Reserve Bank, 1 copy is enclosed.

2a: Organizational Chart



**\*\*LEI is N/A unless noted**

2b: Domestic branch listing submitted electronically 2-23-21

**Form FR Y-6**  
**Sherwood Banc Corporation**  
**Sherwood, OH**  
**Fiscal Year Ending 12/31/2020**

Report Item 3: Securities Holders

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Current Shareholders with ownership, control or holdings of 5% or more with power to vote as of 12-31-20.

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(1) a Name & Address (City, State, Country)	(1) b Country of Citizenship or Incorporation	(1) c Number and % of Each Class of Voting Securities
N/A	N/A	N/A

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Shareholders not listed in (3)(1)(a) through 3(1)(c) that had ownership, control or holdings of 5% or more with power to vote during the fiscal year ending 12-31-20.

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N/A

Form FR Y-6  
 Sherwood Banc Corporation  
 Sherwood, Ohio  
 Fiscal Year Ending 12/31/2020

Report Item 4: Insiders

(1) Name & Address (City, State, Country)	(2) Principal Occupation	(3) (a) Title & Position w/ Bank Holding Co	(3) (b) Title & Position w/ subsidiaries	(3) (c) Title & Position w/ other business	(4) (a) % of voting shares in Bank Holding Co	(4) (b) % of voting shares other subsidiaries	(4) (c) List names and other companies
John Wirth Sherwood, OH USA	Retired	Director Chairman of the Board	Chairman of the Board Sherwood State Bank	n/a	0.820%	n/a	n/a
Wesley C. Moats Defiance, OH USA	Auto Dealer	Director Secretary	Director Sherwood State Bank	President Mark Moats Ford, Inc.	0.300%	n/a	n/a
Jeffrey T. Hange Sherwood, OH USA	Self-employed farmer	Director	Director Sherwood State Bank	n/a	0.050%	n/a	n/a
Ted W. Penner Defiance, OH USA	Tax Consulting	Director	Director Sherwood State Bank	Owner Penner Tax & Consulting, LLC	0.550%	n/a	Penner Tax & Consulting, LLC 100%
Wesley Schultz Defiance, OH USA	Manager	Director	Director Sherwood State Bank	Corporate EHS Manager	0.040%	n/a	n/a
James Weaner Defiance, OH USA	Attorney	Director	Director Sherwood State Bank	Partner Weaner, Yoder, Hill & Weber, LTD.	0.150%	n/a	Weaner, Yoder, Hill & Weber, LTD. 33.33%
Mickey Schwarzbek Defiance, OH USA	n/a	President/CEO	President/CEO Sherwood State Bank	n/a	0.350%	n/a	n/a
Jule Boehm Hicksville, OH USA	n/a	VP/Compliance Auditor	VP/Compliance Auditor Sherwood State Bank	n/a	0.110%	n/a	n/a
Sharon Coffey Hicksville, OH USA	n/a	VP/Controller	VP/Controller Sherwood State Bank	n/a	0.310%	n/a	n/a
Wanda Edwards Hicksville, OH USA	n/a	VP/Loan Operations	VP/Loan Operations Sherwood State Bank	n/a	0.020%	n/a	n/a
Ryan Frederick Defiance, OH USA	n/a	VP/Business Development Officer	VP/Business Development Sherwood State Bank	n/a	0.010%	n/a	n/a
Ty Otto Napoleon, OH USA	n/a	VP/Business Development Officer	VP/Business Development Sherwood State Bank	n/a	0.00%	n/a	n/a
Lynette Beardsley Sherwood, OH USA	n/a	Asst VP/Deposit Operations	Asst VP/Deposit Operations Sherwood State Bank	n/a	0.040%	n/a	n/a