### Board of Governors of the Federal Reserve System



# Annual Report of Holding Companies—FR Y-6

### Report at the close of business as of the end of fiscal year

This Report is required by law: Section 5(c)(1)(A) of the Bank Holding Company Act (12 U.S.C. § 1844(c)(1)(A)); sections 8(a) and 13(a) of the International Banking Act (12 U.S.C. §§ 3106(a) and 3108(a)); sections 11(a)(1), 25, and 25A of the Federal Reserve Act (12 U.S.C. §§ 248(a)(1), 602, and 611a); and sections 113, 165, 312, 618, and 809 of the Dodd-Frank Act (12 U.S.C. §§ 5361, 5365, 5412, 1850a(c)(1), and 5468(b)(1)). Return to the appropriate Federal Reserve Bank the original and the number of copies specified.

NOTE: The Annual Report of Holding Companies must be signed by one director of the top-tier holding company. This individual should also be a senior official of the top-tier holding company. In the event that the top-tier holding company does not have an individual who is a senior official and is also a director, the chairman of the board must sign the report. If the holding company is an ESOP/ESOT formed as a corporation or is an LLC, see the General Instructions for the authorized individual who must sign the report.

I, Douglas W. Boy

Name of the Holding Company Director and Official

### Chairman

Title of the Holding Company Director and Official

attest that the *Annual Report of Holding Companies* (including the supporting attachments) for this report date has been prepared in conformance with the instructions issued by the Federal Reserve System and are true and correct to the best of my knowledge and belief.

With respect to information regarding individuals contained in this report, the Reporter certifies that it has the authority to provide this information to the Federal Reserve. The Reporter also certifies that it has the authority, on behalf of each individual, to consent or object to public release of information regarding that individual. The Federal Reserve may assume, in the absence of a request for confidential treatment submitted in accordance with the Board's "Rules Regarding Availability of Information," 12 C.F.R. Part 261, that the Reporter and individual consent to public release of all details in the report concerning that individual.

Dorollas	
Signature of Holding Company Director and Official	
Date of Signature	
For holding companies <u>not</u> registered with the SEC—Indicate status of Annual Report to Shareholders:	
is included with the FR Y-6 report	
will be sent under separate cover	
☐ is not prepared	
For Federal Reserve Bank Use Only	
RSSD ID	
C.I	

This report form is to be filed by all top-tier bank holding companies, top-tier savings and loan holding companies, and U.S. intermediate holding companies organized under U.S. law, and by any foreign banking organization that does not meet the requirements of and is not treated as a qualifying foreign banking organization under Section 211.23 of Regulation K (12 C.F.R. § 211.23). (See page one of the general instructions for more detail of who must file.) The Federal Reserve may not conduct or sponsor, and an organization (or a person) is not required to respond to, an information collection unless it displays a currently valid OMB control number.

OMB control number. Date of Report (top-tier holding company's fiscal year-end): 12-31-2021 Month / Day / Year NA Reporter's Legal Entity Identifier (LEI) (20-Character LEI Code) Reporter's Name, Street, and Mailing Address Union Bancorp, Inc. Legal Title of Holding Company PO Box 7 (Mailing Address of the Holding Company) Street / P.O. Box West Mansfield Ohio 43358 State Zip Code 105 E. Center St., West Mansfield OH 43358 Physical Location (if different from mailing address) Person to whom questions about this report should be directed: Teresa Fields Secy/Treas 937-355-6511 Area Code / Phone Number / Extension 937-355-3401 Area Code / FAX Number tfields@bankatubc.com E-mail Address NA Address (URL) for the Holding Company's web page

## UNION BANCORP, INC., WEST MANSFIELD OH 12-31-2021 FRY-6

Report item 1: Annual Report to shareholders is attached

Report Item 2a: Organizational Chart

Union Bancorp, Inc.
LEI - NA
West Mansfield, Ohio
Incorporated in Ohio

The Union Banking Company
LEI - NA
West Mansfield, Ohio
Incorporated in Ohio

Report Item 2b: Domestic Branch Listing - e-mailed 3/4/2022 with no changes to: structure.clev@clev.frb.org

Report Item 3 (1): Securities Holders

Securities holder	Country of Citizenship	# Securities	% of ownership Common Shares
Blythe Friedley New Washington, OH/USA	USA	1,829	7.04%
Debra K and John D. Hickenlooper Jointly w/right of survivorship Apollo Beach, FL/USA	USA	2,024	7.79%
Donald G or Sharon E. Kerns Jointly w/right of survivorship West Mansfield, OH/USA	USA	1,355	5.21%
Marjorie MacGillivray Bellefontaine, OH/USA	USA	5,254	20.21%

Report Item 3 (2): NONE

Results: A fist of branches for your depository institution: UNION BANKING COMPANY THE [ID RSSD: 289225]. This depository institution is held by UNION BANCORP INC\_ED756.6] of VETS MANCRED. OH. The data are as of 1/23/2021. Data reflects information that was received and processed through 01/12/2022.

Reconciliation and Verification Stess

1. In the Data Action column of each branch row enter one or more of the actions specified below

2. If required enter the date in the Effective Date column

Actions

ON: If the branch information is correct enter 'Ox' in the Data Action column,
Change: The branch information is incorrect or incomplete revise the data enter 'Oxorge' in the Data Action column and the date when this information first became valid in the Effective Date column.

Glose If a branch listed was sold or closed enter 'Oxorge' in the Data Action column and the sale or closure date in the Effective Date column.

Delete: If a branch listed was sold or closed enter 'Oxorge' in the Data Action column and the sale or closure date in the Effective Date column.

Add: If a reportable branch is missing insert a row add the branch data and enter 'Add' in the Data Action column and the opening or acquisition date in the Effective Date column.

Submission Procedure

When you are finished send a saved copy to your FRB contact. See the deta led instructions on this site for more information. If you are e-mailing this to your FRB contact, but your restrictions are e-mailing this to your FRB contact.

Note:
To satisfy the FR Y-10 reporting requirements you must also submit FR Y-10 Domestic Branch Schedules for each branch with a Data Action of Change Close Delete or Add.
The FR Y-10 report may be submitted in a hardcopy format or via the FR Y-10 Online application - https://y10online.rederalreserve.gov.

\* FDIC UNINUM Office Number and ID RSSD columns are for reference only. Verification of these values is not required.

Data Action	Effective Date	Branch Service Type	Branch ID RSSD®	Popular Name	Street Address	City	State	Zip Code	County	Country	FDIC UNINUM*	Office Number <sup>4</sup>	Head Office	Head Office ID RSSD®	Comments
OK		Full Service (Head Office)	289225	UNION BANKING COMPANY THE	105 EAST CENTER STREET	WEST MANSFIELD	OH	43358	LOGAN	UNITED STATES	Not Required	Not Required	UNION BANKING COMPANY THE	289225	
OK		Full Service	242912	BELLE CENTER BRANCH	107 WEST MAIN STREET	BELLE CENTER	OH	43310	LOGAN	UNITED STATES	Not Required	Not Required	UNION BANKING COMPANY THE	289225	

### Form FR Y-6 UNION BANCORP, INC. West Mansfield, Ohio

## Fiscal Year Ending December 31, 2021

Report Item 4: Insiders

Report Item 4: Inside							
(1)	(2)	(3)(a)	(3)(b)	(3)(c)	(4)(a)	(4)(b)	(4)(c)
Names & Address (City, State, Country)	Principal Occupation if other than with holding company	Title & Position with holding company	Title & Position with Subsidiaries The Union Banking Company	Title & Position with Other Businesses (include names of other businesses)	Percentage of Voting Securities in holding company	Percentage of Voting Securities in Subsidiaries (include names of subsidiaries)	companies of other companies (includes partnerships) if 25% or more of voting securities are held (List names of companies and percentage of voting securities held)
D Milliam Davi							
D. William Boy Bellefontaine OH/USA	СРА	President Chairman	Director Chairman	D. William Boy CPA,	1.70%	NA NA	D. William Boy CPA,
Teresa Fields West Mansfield OH/USA	NONE	Sec'y/Treasurer	Sr. Vice President	None	0.46%	NA	None
Jeff Horney Bellefontaine OH/USA	Insurance Agent	Director	Director	Jeff Horney Holdings, LLC, owner	0.15%	NA	Jeff Horney Holdings, LLC, 100%
Marjorie MacGillivray Bellefontaine OH/USA	Retired teacher	None	None	None	20.21%	NA	None
Scott McDougal New Washington OH/USA	Investment Advisor	Director/Vice President	Director/Vice President	McDougal Financial, owner	2.99%	NA	McDougal Financial, 100%
	Retired Deputy Sheriff	Director	Director	None	0.43%	NA	None
Matthew Yackshaw Columbus OH/USA	Retired Attorney	Director	Director	None	3.01%	NA	None