Report at the close of business as of the end of fiscal year

This Report is required by law: Section 5(c)(1)(A) of the Bank Holding Company Act (12 U.S.C. § 1844(c)(1)(A)); sections 8(a) and 13(a) of the International Banking Act (12 U.S.C. §§ 3106(a) and 3108(a)); sections 11(a)(1), 25, and 25A of the Federal Reserve Act (12 U.S.C. §§ 248(a)(1), 602, and 611a); and sections 113, 165, 312, 618, and 809 of the Dodd-Frank Act (12 U.S.C. §§ 5361, 5365, 5412, 1850a(c)(1), and 5468(b)(1)). Return to the appropriate Federal Reserve Bank the original and the number of copies specified.

NOTE: The Annual Report of Holding Companies must be signed by one director of the top-tier holding company. This individual should also be a senior officer of the top-tier holding company. In the event that the top-tier holding company does not have an individual who is a senior officer and is also a director, the chairman of the board must sign the report. If the holding company is an ESOP/ESOT formed as a corporation or is an LLC, see the General Instructions for the authorized individual who must sign the report.

I, David J. Demas
Name of the Holding Company Director and Official
Chief Financial Officer
Title of the Holding Company Director and Official

attest that the Annual Report of Holding Companies (including the supporting attachments) for this report date has been prepared in conformance with the instructions issued by the Federal Reserve System and are true and correct to the best of my knowledge and belief.

With respect to information regarding individuals contained in this report, the Reporter certifies that it has the authority to provide this information to the Federal Reserve. The Reporter also certifies that it has the authority, on behalf of each individual, to consent or object to public release of information regarding that individual. The Federal Reserve may assume, in the absence of a request for confidential treatment submitted in accordance with the Board's "Rules Regarding Availability of Information," 12 C.F.R. Part 261, that the Reporter and individual consent to public release of all details in the report concerning that individual.

Signature of Holding Company Director and Official
03/29/2022
Date of Signature

For holding companies not registered with the SEC—Indicate status of Annual Report to Shareholders:
☐ is included with the FR Y-6 report
☐ will be sent under separate cover
☐ is not prepared

For Federal Reserve Bank Use Only
RSSD ID
C.I.

This report form is to be filed by all top-tier bank holding companies, top-tier savings and loan holding companies, and U.S. intermediate holding companies organized under U.S. law, and any foreign banking organization that does not meet the requirements of and is not treated as a qualifying foreign banking organization under Section 211.23 of Regulation K (12 C.F.R. § 211.23). (See page one of the general instructions for more detail of who must file.) The Federal Reserve may not conduct or sponsor, and an organization (or a person) is not required to respond to, an information collection unless it displays a currently valid OMB control number.

Date of Report (top-tier holding company's fiscal year-end):
December 31, 2021
Month / Day / Year
5493003JKSPNO881WX29
Reporter's Legal Entity Identifier (LEI) (20-Character LEI Code)

Reporter's Name, Street, and Mailing Address
TriState Capital Holdings, Inc.
Legal Title of Holding Company
301 Grant Street, Suite 2700
(Mailing Address of the Holding Company) Street / P.O. Box
Pittsburgh PA 15219
City State Zip Code

Physical Location (if different from mailing address)

Person to whom questions about this report should be directed:
Cheryl Burger
Name
SVP, Finance
Title

Area Code / Phone Number / Extension
412-304-0305
412-304-0396

Area Code / Fax Number
cburger@tscbank.com
E-mail Address

www.tscbank.com
Address (URL) for the Holding Company's web page

Is confidential treatment requested for any portion of this report submission? 0=No 1=Yes
☐ is included with the FR Y-6 report
☐ will be sent under separate cover
☐ is not prepared

In accordance with the General Instructions for this report (check only one),
1. a letter justifying this request is being provided along with the report
☐
2. a letter justifying this request has been provided separately
☐

NOTE: Information for which confidential treatment is being requested must be provided separately and labeled as "confidential."

Public reporting burden for this information collection is estimated to vary from 1 to 101 hours per response, with an average of 5.50 hours per response, including time to gather and maintain data in the required form and to review instructions and complete the information collection. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to: Secretary, Board of Governors of the Federal Reserve System, 20th and C Streets, NW, Washington, DC 20551, and to the Office of Management and Budget, Paperwork Reduction Project (7100-0297), Washington, DC 20503.
Public Volume

Report Item

1. Annual Report to Shareholders

Form 10-K was filed with the SEC on March 1, 2022.

2a. Organization Chart
**Results:** A list of branches for your depository institution **TRISTATE CAPITAL BANK (ID_RSSD 3475083).**

This depository institution is held by **TRISTATE CAPITAL HOLDING LLC (3475074) of PITTSBURGH, PA.**

The data are as of 12/31/2021. Data reflects information that was received and processed through 01/12/2022.

**Reconciliation and Verification Steps**

1. In the **Data Action** column of each branch row, enter one or more of the actions specified below
2. If required, enter the date in the **Effective Date** column

**Actions**

- **OK:** If the branch information is correct, enter ‘**OK**’ in the Data Action column.
- **Change:** If the branch information is incorrect or incomplete, revise the data, enter ‘**Change**’ in the Data Action column and the date when this information first became valid in the Effective Date column.
- **Close:** If a branch listed was sold or closed, enter ‘**Close**’ in the Data Action column and the sale or closure date in the Effective Date column.
- **Delete:** If a branch listed was never owned by this depository institution, enter ‘**Delete**’ in the Data Action column.
- **Add:** If a reportable branch is missing, insert a row, add the branch data, and enter ‘**Add**’ in the Data Action column and the opening or acquisition date in the Effective Date column.

If printing this list, you may need to adjust your page setup in MS Excel. Try using landscape orientation, page scaling, and/or legal sized paper

**Submission Procedure**

When you are finished, send a saved copy to your FRB contact. See the detailed instructions on this site for more information.

If you are e-mailing this to your FRB contact, put your institution name, city and state in the subject line of the e-mail

**Note**

To satisfy the FR Y-10 reporting requirements, you must also submit FR Y-10 Domestic Branch Schedules for each branch with a **Data Action** of Change, Close, Delete, or Add.

The FR Y-10 report may be submitted in a hardcopy format or via the FR Y-10 Online application - [https://y10online.federalreserve.gov](https://y10online.federalreserve.gov). 

* FDIC UNINUM, Office Number, and ID_RSSD columns are for reference only. Verification of these values is not required.

<table>
<thead>
<tr>
<th>Data Action</th>
<th>Effective Date</th>
<th>Branch Service Type</th>
<th>Branch ID_RSSD*</th>
<th>Popular Name</th>
<th>Street Address</th>
<th>City</th>
<th>State</th>
<th>Zip Code</th>
<th>County</th>
<th>Country</th>
<th>FDIC UNINUM</th>
<th>Office Number*</th>
<th>Head Office</th>
<th>Head Office ID_RSSD*</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>OK</td>
<td>Full Service (head Office)</td>
<td>3475083</td>
<td>TRISTATE CAPITAL BANK</td>
<td>OXFORD CENTER, FLOOR 27</td>
<td>PITTSBURGH PA</td>
<td>15219</td>
<td>ALLEGHENY</td>
<td>UNITED STATES</td>
<td>Not Required</td>
<td>Not Required</td>
<td>TRISTATE CAPITAL BANK</td>
<td>3475083</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
Public Volume

Report Item

3: Securities Holders

(1) Current securities holders with ownership, control or holdings of 5% or more with power to vote as of fiscal year ending 12-31-2021.

<table>
<thead>
<tr>
<th>Name &amp; Address (City, State, Country)</th>
<th>Country of Citizenship or Incorporation</th>
<th>Number and Percentage of Each Class of Voting Securities</th>
</tr>
</thead>
<tbody>
<tr>
<td>T-VIII PubOpps LP Wilmington, DE, USA</td>
<td>USA</td>
<td>2,777,826 - 8.4% Common Stock *</td>
</tr>
<tr>
<td>BlackRock, Inc. New York, NY, USA</td>
<td>USA</td>
<td>2,215,234 - 6.7% Common Stock</td>
</tr>
<tr>
<td>Versor Investments LP New York, NY, USA</td>
<td>USA</td>
<td>1,894,065 - 5.7% Common Stock</td>
</tr>
<tr>
<td>Barclays Bank PLC England, United Kingdom</td>
<td>United Kingdom</td>
<td>1,711,257 - 5.1% Common Stock</td>
</tr>
</tbody>
</table>

* Based upon Schedule 13D filed with the SEC on December 31, 2020, as of December 31, 2020, jointly by T-VIII PubOpps, T-VIII PubOpps GP LLC, a Delaware limited liability company (“T8 GP”), Trident VIII, L.P., a Cayman Islands exempted limited partnership (“Trident VIII”), Trident Capital VIII, L.P., a Cayman Islands exempted limited partnership (“Trident VIII GP”) (collectively, the “Stone Point Entities”), and Stone Point. The sole general partner of T-VIII PubOpps is T8 GP. As the general partner of T-VIII PubOpps, T8 GP holds voting and investment power with respect to the shares of common stock that are, or may be deemed to be, beneficially owned by T-VIII PubOpps. The sole managing member of T8 GP is Trident VIII. As the sole managing member of T8 GP, Trident VIII holds voting and investment power with respect to the shares of common stock that are, or may be deemed to be, beneficially owned by T8 GP. The sole general partner of Trident VIII is Trident VIII GP. As the general partner of Trident VIII, Trident VIII GP holds voting and investment power with respect to the shares of common stock that are, or may be deemed to be, beneficially owned by Trident VIII. The general partners of Trident VIII GP are five single member limited liability companies that are owned by individuals who are members of Stone Point (Charles A. Davis, Stephen Friedman, James D. Carey, David J. Wermuth and Nicolas D. Zerbi). Pursuant to certain management agreements, Stone Point has received delegated authority from Trident VIII GP relating to Trident VIII, including the authority to exercise voting rights of shares of common stock on behalf of Trident VIII, except with respect to any portfolio investment where Trident VIII controls 10% or more of the voting power of such portfolio company, in which case delegated discretion to exercise voting rights may not be exercised on behalf of Trident VIII without first receiving direction from the Investment Committee of Trident VIII GP or a majority of the general partners of Trident VIII GP. The management agreements do not delegate any power with respect to the disposition of shares of common stock held by Trident VIII. The principal business address for T-VIII PubOpps is c/o CSC at 251 Little Falls Drive, Wilmington, Delaware 19808.

(2) Securities holders not listed in 3(1) above that had ownership, control or holdings of 5% or more with power to vote during the fiscal year ending 12-31-2021.

<table>
<thead>
<tr>
<th>Name &amp; Address (City, State, Country)</th>
<th>Country of Citizenship or Incorporation</th>
<th>Number and Percentage of Each Class of Voting Securities</th>
</tr>
</thead>
<tbody>
<tr>
<td>None.</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
**Public Volume**

**Report Item**

4 Insiders

<table>
<thead>
<tr>
<th>Names &amp; Address (City, State, Country)</th>
<th>Principal occupation if other than with holding company</th>
<th>Title &amp; Position with holding company</th>
<th>Title &amp; Position with Subsidiaries (include names of subsidiaries)</th>
<th>Title &amp; Position with Other Businesses (include names of other businesses)</th>
<th>Percentage of Voting Securities in holding company</th>
<th>Percentage of Voting Securities in Subsidiaries (include names of subsidiaries)</th>
<th>Percentage of voting shares in other businesses, if 25% or more of its voting securities are held</th>
</tr>
</thead>
<tbody>
<tr>
<td>James F. Getz, Pittsburgh PA, USA</td>
<td>N/A</td>
<td>Director &amp; Chairman, President &amp; CEO</td>
<td>Partner, Getz Enterprises, LP - Pittsburgh, PA</td>
<td>N/A</td>
<td>4.4%</td>
<td>N/A</td>
<td>See Confidential Volume</td>
</tr>
<tr>
<td></td>
<td>N/A</td>
<td>Director &amp; Chairman (Chartwell Investment Partners, LLC)</td>
<td>Shareholder, Getz Enterprises, Inc. - Pittsburgh, PA</td>
<td>0.2%</td>
<td>N/A</td>
<td>See Confidential Volume</td>
<td></td>
</tr>
<tr>
<td></td>
<td>N/A</td>
<td>Director (Chartwell TSC Securities Corp.)</td>
<td>Partner, Meadowood Realty - Pittsburgh, PA</td>
<td>1.1%</td>
<td>N/A</td>
<td>See Confidential Volume</td>
<td></td>
</tr>
<tr>
<td></td>
<td>N/A</td>
<td>Chairman (TSC Equipment Finance LLC)</td>
<td>President and CEO (Chartwell Investment Partners, LLC)</td>
<td>0.3%</td>
<td>N/A</td>
<td>See Confidential Volume</td>
<td></td>
</tr>
<tr>
<td></td>
<td>N/A</td>
<td>Director &amp; Vice President (TriState Capital Bank)</td>
<td>Director, Pennsylvania Higher Education Assistance Agency - Harrisburg, PA</td>
<td>&lt; 0.1%</td>
<td>N/A</td>
<td>See Confidential Volume</td>
<td></td>
</tr>
<tr>
<td></td>
<td>N/A</td>
<td>Director (TriState Capital Bank)</td>
<td>Member &amp; Vice Chairman of the Board of Directors, Catalyst Connection (non-profit organization) - Pittsburgh, PA</td>
<td>0.1%</td>
<td>N/A</td>
<td>See Confidential Volume</td>
<td></td>
</tr>
<tr>
<td></td>
<td>N/A</td>
<td>Director at investment firm (TriState Capital Bank)</td>
<td>Member of the Board of Directors, F&amp;S Tool Inc.</td>
<td>0%</td>
<td>N/A</td>
<td>See Confidential Volume</td>
<td></td>
</tr>
<tr>
<td></td>
<td>N/A</td>
<td>Director (TriState Capital Bank)</td>
<td>Chair of the Board Directors, MedStar Health, Inc. (non-profit organization)</td>
<td>0.1%</td>
<td>N/A</td>
<td>See Confidential Volume</td>
<td></td>
</tr>
</tbody>
</table>

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Form FR Y-6
TriState Capital Holdings, Inc.
Pittsburgh, Pennsylvania
Fiscal Year Ending December 31, 2021

James F. Getz, Pittsburgh PA, USA
N/A Director & Chairman, President & CEO
Partner, Getz Enterprises, LP - Pittsburgh, PA
4.4% N/A See Confidential Volume

David J. Demas, Pittsburgh PA, USA
N/A CFO (TriState Capital Bank)
Treasurer (TriState Capital Bank)
0.2% N/A See Confidential Volume

Brian S. Fetterolf, Pittsburgh PA, USA
N/A Director & Vice President (TriState Capital Bank)
Limited Partner in Crosshair Ventures
Chairman, Pittsburgh Benefit for the Navy Seal Foundation
1.1% N/A See Confidential Volume

A. William Schenck III, Pittsburgh PA, USA
N/A Director & Vice Chairman (TriState Capital Bank)
Director, Pennsylvania Higher Education Assistance Agency - Harrisburg, PA
0.3% N/A See Confidential Volume

David L. Bonvansato, Pittsburgh PA, USA
Director at investment firm (TriState Capital Bank)
Member of the Board of Directors, F&S Tool Inc.
< 0.1% N/A See Confidential Volume

Anthony J. Buzzelli, Somerset, VA, USA
N/A Director (TriState Capital Bank)
Chair of the Board of Directors, MedStar Health, Inc. (non-profit organization)
0.1% N/A See Confidential Volume
<table>
<thead>
<tr>
<th>Names &amp; Address (City, State, Country)</th>
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<th>Title &amp; position with holding company</th>
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<th>Percentage of Voting Securities in Subsidiaries (include names of subsidiaries)</th>
<th>Percentage of voting shares in other businesses, if 25% or more of its voting securities are held</th>
</tr>
</thead>
<tbody>
<tr>
<td>Helen Hanna Casey, Pittsburgh PA, USA</td>
<td>Real Estate Services</td>
<td>Director</td>
<td>Director (TriState Capital Bank)</td>
<td>Director &amp; President, Hanna Holdings, Inc. - Pittsburgh, PA</td>
<td>0.3%</td>
<td>N/A</td>
<td>See Confidential Volume</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Board Member, West Penn Multi List Inc.</td>
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</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>President &amp; CEO, Howard Hanna Real Estate Services, Inc., subsidiary of Hanna Holdings, Inc.</td>
<td></td>
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</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Director, Strategic Investment Fund</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>E.H. (Gene) Dewhurst, Houston, TX, USA</td>
<td>Investment</td>
<td>Director</td>
<td>Director (TriState Capital Bank)</td>
<td>Director, Vice President - Finance &amp; Treasurer, Falcon Seaboard Diversified, Inc. - Houston, TX</td>
<td>0.1%</td>
<td>N/A</td>
<td>See Confidential Volume</td>
</tr>
<tr>
<td></td>
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<td></td>
<td>Manager, Director, Vice President - Finance &amp; Treasurer, Falcon Seaboard Holdings, LLC - Houston, TX</td>
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<tr>
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<td></td>
<td>Manager, Director, Vice President - Finance &amp; Treasurer, II FF, LLC - Houston, TX</td>
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<td></td>
<td>Vice President - Finance, Treasurer, and Director, Falcon Seaboard Resources LLC - Houston, TX</td>
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<td></td>
<td></td>
<td></td>
<td></td>
<td>Chairman - Finance Committee; Co-Chairman - International Missions Committee, Bethel Church - Houston TX</td>
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<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Trustee, David Dewhurst Blind Trust - Houston TX</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Director, The David Dewhurst Foundation - Houston, TX</td>
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<td></td>
</tr>
<tr>
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<td></td>
<td></td>
<td></td>
<td>Director, Secretary &amp; Treasurer, David Dewhurst Investments - I, Inc. - Houston TX</td>
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</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Trustee, Dewhurst 2000 Trust - Houston, TX</td>
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<td></td>
<td></td>
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<tr>
<td></td>
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<td></td>
<td></td>
<td>Director, Vice President - Finance &amp; Treasurer, Falcon Seaboard Canada Limited, Houston, TX</td>
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<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Vice President - Finance &amp; Treasurer, Falcon Seaboard Canada Investment Company, L.P. - Houston, TX</td>
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<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Partner, Falcon Seaboard Investment - PEP II, Inc. - Houston, TX</td>
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<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Director, Vice President - Finance &amp; Treasurer, Falcon Seaboard Investment - PEP II, Inc. - Houston, TX</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
Form FR Y-6
TriState Capital Holdings, Inc.
Pittsburgh, Pennsylvania
Fiscal Year Ending December 31, 2021

Public Volume

Report Item

4 Insiders

<table>
<thead>
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</tr>
</thead>
<tbody>
<tr>
<td>James J. Dolan, Keewayden Island, FL, USA</td>
<td>Investments &amp; Technology Services Director Director (TriState Capital Bank) Chairman &amp; Managing Member, Voyager Holdings II, LLC Pittsburgh, PA</td>
<td>0.2% N/A See Confidential Volume</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Audrey P. Dunning, Pittsburgh, PA, USA</td>
<td>CEO of technology services company Director Director (TriState Capital Bank) Chief Executive Officer of AMP Growth Advisors, LLC</td>
<td>&lt; 0.1% N/A See Confidential Volume</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Michael R. Harris, New York, NY, USA</td>
<td>Capital Markets Director Director (TriState Capital Bank) Head of Capital Markets &amp; Business Development for Citadel Securities</td>
<td>&lt; 0.1% N/A See Confidential Volume</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Timothy J. Riddle, Berwyn, PA, USA</td>
<td>N/A None Director &amp; CEO (Chartwell Investment Partners LLC) N/A</td>
<td>0.9% N/A See Confidential Volume</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Kim A. Ruth, Brenham, TX, USA</td>
<td>Financial Advisory Services Executive Director Director (TriState Capital Bank) Managing Director, Apex Partners, LLC</td>
<td>&lt; 0.1% N/A See Confidential Volume</td>
<td></td>
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<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
### Public Volume

**Report Item**

4 Insiders

<table>
<thead>
<tr>
<th>(1)</th>
<th>(2)</th>
<th>(3)(a)</th>
<th>(3)(b)</th>
<th>(3)(c)</th>
<th>(4)(a)</th>
<th>(4)(b)</th>
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</tr>
</thead>
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<td>Percentage of Voting Securities in Subsidiaries (include names of subsidiaries)</td>
<td>Percentage of voting shares in other businesses, if 25% or more of its voting securities are held</td>
</tr>
<tr>
<td>John B. Yasinsky, Naples FL USA</td>
<td>Director and Finance Committee Chair, Greater Houston Community Foundation (non-profit organization)</td>
<td>Director</td>
<td>Director (TriState Capital Bank)</td>
<td>N/A</td>
<td>0.2%</td>
<td>N/A</td>
<td>See Confidential Volume</td>
</tr>
</tbody>
</table>

Form FR Y-6
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Pittsburgh, Pennsylvania
Fiscal Year Ending December 31, 2021