

Board of Governors of the Federal Reserve System



Annual Report of Holding Companies—FR Y-6

Report at the close of business as of the end of fiscal year

This Report is required by law: Section 5(c)(1)(A) of the Bank Holding Company Act (12 U.S.C. § 1844(c)(1)(A)); sections 8(a) and 13(a) of the International Banking Act (12 U.S.C. §§ 3106(a) and 3108(a)); sections 11(a)(1), 25, and 25A of the Federal Reserve Act (12 U.S.C. §§ 248(a)(1), 602, and 611a); and sections 113, 165, 312, 618, and 809 of the Dodd-Frank Act (12 U.S.C. §§ 5361, 5365, 5412, 1850a(c)(1), and 5468(b)(1)). Return to the appropriate Federal Reserve Bank the original and the number of copies specified.

This report form is to be filed by all top-tier bank holding companies, top-tier savings and loan holding companies, and U.S. intermediate holding companies organized under U.S. law, and by any foreign banking organization that does not meet the requirements of and is not treated as a qualifying foreign banking organization under Section 211.23 of Regulation K (12 C.F.R. § 211.23). (See page one of the general instructions for more detail of who must file.) The Federal Reserve may not conduct or sponsor, and an organization (or a person) is not required to respond to, an information collection unless it displays a currently valid OMB control number.

NOTE: The *Annual Report of Holding Companies* must be signed by one director of the top-tier holding company. This individual should also be a senior official of the top-tier holding company. In the event that the top-tier holding company does not have an individual who is a senior official and is also a director, the chairman of the board must sign the report. If the holding company is an ESOP/ESOT formed as a corporation or is an LLC, see the General Instructions for the authorized individual who must sign the report.

Date of Report (top-tier holding company's fiscal year-end):

December 31, 2021

Month / Day / Year

5493003JKSPN0881WX29

Reporter's Legal Entity Identifier (LEI) (20-Character LEI Code)

Reporter's Name, Street, and Mailing Address

TriState Capital Holdings, Inc.

Legal Title of Holding Company

301 Grant Street, Suite 2700

(Mailing Address of the Holding Company) Street / P.O. Box

Pittsburgh

PA

15219

City

State

Zip Code

Physical Location (if different from mailing address)

Person to whom questions about this report should be directed:

Cheryl Burger

SVP, Finance

Name

Title

412-304-0305

Area Code / Phone Number / Extension

412-304-0396

Area Code / FAX Number

cburger@tscbank.com

E-mail Address

www.tscbank.com

Address (URL) for the Holding Company's web page

I, **David J. Demas**

Name of the Holding Company Director and Official

Chief Financial Officer

Title of the Holding Company Director and Official

attest that the *Annual Report of Holding Companies* (including the supporting attachments) for this report date has been prepared in conformance with the instructions issued by the Federal Reserve System and are true and correct to the best of my knowledge and belief.

With respect to information regarding individuals contained in this report, the Reporter certifies that it has the authority to provide this information to the Federal Reserve. The Reporter also certifies that it has the authority, on behalf of each individual, to consent or object to public release of information regarding that individual. The Federal Reserve may assume, in the absence of a request for confidential treatment submitted in accordance with the Board's "Rules Regarding Availability of Information," 12 C.F.R. Part 261, that the Reporter and individual consent to public release of all details in the report concerning that individual.

Signature of Holding Company Director and Official

03/29/2022

Date of Signature

For holding companies not registered with the SEC—
 Indicate status of Annual Report to Shareholders:

- is included with the FR Y-6 report
- will be sent under separate cover
- is not prepared

For Federal Reserve Bank Use Only

RSSD ID _____

C.I. _____

Is confidential treatment requested for any portion of this report submission?	0=No	1=Yes	1
In accordance with the General Instructions for this report (check only one),			
1. a letter justifying this request is being provided along with the report	<input checked="" type="checkbox"/>		
2. a letter justifying this request has been provided separately ...	<input type="checkbox"/>		
NOTE: Information for which confidential treatment is being requested must be provided separately and labeled as "confidential."			

Form FR Y-6
TriState Capital Holdings, Inc.
Pittsburgh, Pennsylvania
Fiscal Year Ending December 31, 2021

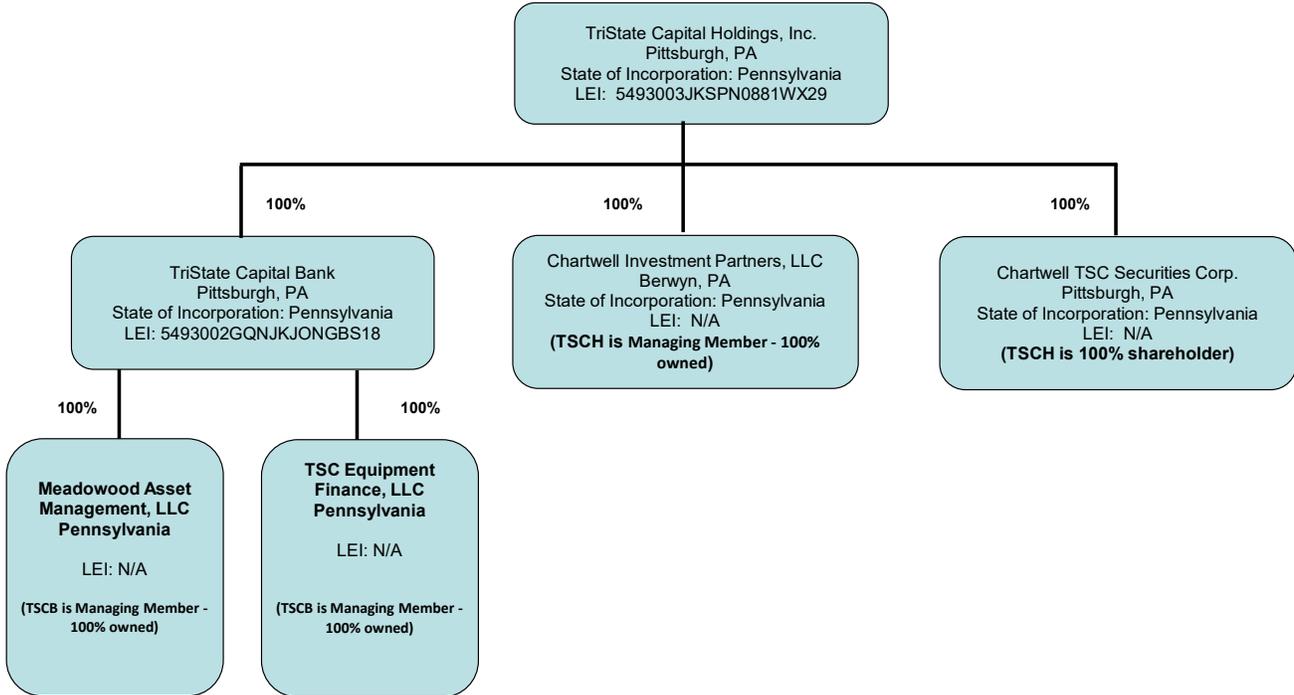
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1 Annual Report to Shareholders

Form 10-K was filed with the SEC on March 1, 2022.

2a Organization Chart



Results: A list of branches for your depository institution **TRISTATE CAPITAL BANK (ID_RSSD 3475083)**.
 This depository institution is held by **TRISTATE CAPITAL HOLDINGS, INC (3475074)** of **PITTSBURGH, PA**.
 The data are as of **12/31/2021**. Data reflects information that was received and processed through **01/12/2022**.

Reconciliation and Verification Steps

1. In the **Data Action** column of each branch row, enter one or more of the actions specified below
2. If required, enter the date in the **Effective Date** column

Actions

- OK:** If the branch information is correct, enter 'OK' in the **Data Action** column.
- Change:** If the branch information is incorrect or incomplete, revise the data, enter 'Change' in the **Data Action** column and the date when this information first became valid in the **Effective Date** column.
- Close:** If a branch listed was sold or closed, enter 'Close' in the **Data Action** column and the sale or closure date in the **Effective Date** column.
- Delete:** If a branch listed was never owned by this depository institution, enter 'Delete' in the **Data Action** column.
- Add:** If a reportable branch is missing, insert a row, add the branch data, and enter 'Add' in the **Data Action** column and the opening or acquisition date in the **Effective Date** column.

If printing this list, you may need to adjust your page setup in MS Excel. Try using landscape orientation, page scaling, and/or legal sized paper

Submission Procedure

When you are finished, send a saved copy to your FRB contact. See the detailed instructions on this site for more information.
 If you are e-mailing this to your FRB contact, put your institution name, city and state in the subject line of the e-mail

Note

To satisfy the **FR Y-10 reporting requirements**, you must also submit FR Y-10 Domestic Branch Schedules for each branch with a **Data Action** of **Change, Close, Delete, or Add**.
 The FR Y-10 report may be submitted in a hardcopy format or via the FR Y-10 Online application - <https://y10online.federalreserve.gov>.

* FDIC UNINUM, Office Number, and ID_RSSD columns are for reference only. Verification of these values is not required.

Data Action	Effective Date	Branch Service Type	Branch ID_RSSD*	Popular Name	Street Address	City	State	Zip Code	County	Country	FDIC UNINUM*	Office Number*	Head Office	Head Office ID_RSSD*	Comments
OK		Full Service (Head Office)	3475083	TRISTATE CAPITAL BANK	1 OXFORD CENTER, FLOOR 27	PITTSBURGH	PA	15219	ALLEGHENY	UNITED STATES	Not Required	Not Required	TRISTATE CAPITAL BANK	3475083	

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 Pittsburgh, Pennsylvania
 Fiscal Year Ending December 31, 2021

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3: Securities Holders

(1) Current securities holders with ownership, control or holdings of 5% or more with power to vote as of fiscal year ending 12-31-2021.

1(a) Name & Address (City, State, Country)	1(b) Country of Citizenship or Incorporation	1(c) Number and Percentage of Each Class of Voting Securities
T-VIII PubOpps LP Wilmington, DE, USA	USA	2,777,826 - 8.4% Common Stock *
BlackRock, Inc. New York, NY, USA	USA	2,215,234 - 6.7% Common Stock
Versor Investments LP New York, NY, USA	USA	1,894,065 - 5.7% Common Stock
Barclays Bank PLC England, United Kingdom	United Kingdom	1,711,257 - 5.1% Common Stock

* Based upon Schedule 13D filed with the SEC on December 31, 2020, as of December 31, 2020, jointly by T-VIII PubOpps, T-VIII PubOpps GP LLC, a Delaware limited liability company ("T8 GP"), Trident VIII, L.P., a Cayman Islands exempted limited partnership ("Trident VIII"), Trident Capital VIII, L.P., a Cayman Islands exempted limited partnership ("Trident VIII GP") (collectively, the "Stone Point Entities"), and Stone Point. The sole general partner of T-VIII PubOpps is T8 GP. As the general partner of T-VIII PubOpps, T8 GP holds voting and investment power with respect to the shares of common stock that are, or may be deemed to be, beneficially owned by T-VIII PubOpps. The sole managing member of T8 GP is Trident VIII. As the sole managing member of T8 GP, Trident VIII holds voting and investment power with respect to the shares of common stock that are, or may be deemed to be, beneficially owned by T8 GP. The sole general partner of Trident VIII is Trident VIII GP. As the general partner of Trident VIII, Trident VIII GP holds voting and investment power with respect to the shares of common stock that are, or may be deemed to be, beneficially owned by Trident VIII. The general partners of Trident VIII GP are five single member limited liability companies that are owned by individuals who are members of Stone Point (Charles A. Davis, Stephen Friedman, James D. Carey, David J. Wermuth and Nicolas D. Zerbib). Pursuant to certain management agreements, Stone Point has received delegated authority from Trident VIII GP relating to Trident VIII, including the authority to exercise voting rights of shares of common stock on behalf of Trident VIII, except with respect to any portfolio investment where Trident VIII controls 10% or more of the voting power of such portfolio company, in which case delegated discretion to exercise voting rights may not be exercised on behalf of Trident VIII without first receiving direction from the Investment Committee of Trident VIII GP or a majority of the general partners of Trident VIII GP. The management agreements do not delegate any power with respect to the disposition of shares of common stock held by Trident VIII. The principal business address for T-VIII PubOpps is c/o CSC at 251 Little Falls Drive, Wilmington, Delaware 19808.

(2) Securities holders not listed in 3(1) above that had ownership, control or holdings of 5% or more with power to vote during the fiscal year ending 12-31-2021.

2(a) Name & Address (City, State, Country)	2(b) Country of Citizenship or Incorporation	2(c) Number and Percentage of Each Class of Voting Securities
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None.

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4 Insiders

(1)	(2)	(3)(a)	(3)(b)	3(c)	(4)(a)	(4)(b)	4(c)
Names & Address (City, State, Country)	Principal occupation if other than with holding company	Title & position with holding company	Title & Position with Subsidiaries (include names of subsidiaries)	Title & Position with Other Businesses (include names of other businesses)	Percentage of Voting Securities in holding company	Percentage of Voting Securities in Subsidiaries (include names of subsidiaries)	Percentage of voting shares in other businesses, if 25% or more of its voting securities are held
James F. Getz, Pittsburgh PA, USA	N/A	Director & Chairman, President & CEO	Director & Chairman (TriState Capital Bank)	Partner, Getz Enterprises, LP - Pittsburgh, PA	4.4%	N/A	See Confidential Volume
			Director & Chairman (Chartwell Investment Partners LLC)	Shareholder, Getz Enterprises, Inc. - Pittsburgh, PA			
			Director (Chartwell TSC Securities Corp.)	Partner, Meadowood Realty - Pittsburgh, PA			
			Chairman (TSC Equipment Finance LLC)				
			President and CEO (Meadowood Asset Management, LLC)				
David J. Demas, Pittsburgh PA, USA	N/A	CFO	CFO (TriState Capital Bank)	N/A	0.2%	N/A	See Confidential Volume
			Treasurer (TSC Equipment Finance LLC)				
Brian S. Fetterolf, Pittsburgh PA, USA	N/A	Director & Vice President	Director; President & CEO (TriState Capital Bank)	Limited Partner in Crosshair Ventures, LP & President, Crosshair Ventures, LLC, its general partner	1.1%	N/A	See Confidential Volume
			President and Director (Chartwell TSC Securities, Corp.)	Chairman, Pittsburgh Benefit for the Navy Seal Foundation			
			President & CEO (TSC Equipment Finance LLC)				
			Director & Vice Chairman (Chartwell Investment Partners LLC)				
A. William Schenck III, Pittsburgh PA, USA	N/A	Director & Vice Chairman	Director & Vice Chairman (TriState Capital Bank)	Director, Pennsylvania Higher Education Assistance Agency - Harrisburg, PA	0.3%	N/A	See Confidential Volume
				Shareholder, Mikell Schenck Associates - Aspinwall PA			
				Member, LittleDel Associates, LLC - Pittsburgh, PA			
				Director, Pennsylvania Economy League			
David L. Bonvenuto, Pittsburgh PA, USA	Director at investment firm	Director	Director (TriState Capital Bank)	Director at Tecum Capital (investment firm)	< 0.1%	N/A	See Confidential Volume
				Member of the Board of Directors, F&S Tool Inc.			
			Director (Chartwell Investment Partners, LLC)	Member & Vice Chairman of the Board of Directors, Catalyst Connection (non-profit organization) - Pittsburgh, PA			
Anthony J. Buzzelli, Somerset, VA, USA	N/A	Director	Director (TriState Capital Bank)	Chair of the Board of Directors, MedStar Health, Inc. (non-profit organization)	0.1%	N/A	See Confidential Volume

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				Member of the Board of Directors, Hall of Fame Resort and Entertainment Co. (Audit Committee Chair)			
				Chair of the Board of Directors, American Automobile Association (nonprofit member services organization)			
				Member, Board of Visitors, Penn State Smeal College of Business (non-profit university)			
				Member of the Board of Directors, ACE, AAA of Southern California (nonprofit member services organization) (Audit Committee Chair)			
Helen Hanna Casey, Pittsburgh PA, USA	Real Estate Services	Director	Director (TriState Capital Bank)	Director & President, Hanna Holdings, Inc. - Pittsburgh, PA	0.3%	N/A	See Confidential Volume
				Board Member, West Penn Multi List Inc.			
				President & CEO, Howard Hanna Real Estate Services, Inc., subsidiary of Hanna Holdings, Inc.			
				Director, Strategic Investment Fund			
E.H. (Gene) Dewhurst, Houston, TX, USA	Investment	Director	Director (TriState Capital Bank)	Director, Vice President - Finance & Treasurer, Falcon Seaboard Diversified, Inc. - Houston, TX	0.1%	N/A	See Confidential Volume
				Manager, Director, Vice President - Finance & Treasurer, Falcon Seaboard Holdings, LLC - Houston, TX			
				Manager, Director, Vice President - Finance & Treasurer, II FF, LLC - Houston TX			
				Vice President - Finance, Treasurer, and Director, Falcon Seaboard Resources LLC - Houston, TX			
				Chairman - Finance Committee; Co-Chairman - Internation Missions Committee, Bethel Church - Houston TX			
				Trustee, David Dewhurst Blind Trust - Houston TX			
				Director, The David Dewhurst Foundation - Houston, TX			
				Director, Secretary & Treasurer, David Dewhurst Investments - I, Inc. - Houston TX			
				Trustee, Dewhurst 2000 Trust - Houston, TX			
				Director, Vice President - Finance & Treasurer, Falcon Diversified, LLC - Houston TX			
				Vice President - Finance & Treasurer, Falcon Seaboard Canada Limited, Houston, TX			
				Partner, Falcon Seaboard Investment Company, L.P. - Houston, TX			
				Director, Vice President - Finance & Treasurer, Falcon Seaboard Investment - PEP II, Inc. - Houston, TX			

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				Manager, Director, Vice President - Finance & Treasurer, Falcon Seaboard Oil & Gas, LLC - Houston, TX			
				Director, Vice President - Finance & Treasurer, Falcon Seaboard Properties, Inc. - Houston, TX			
				Director, Vice President - Finance & Treasurer, Falcon Seaboard Ranches Inc. - Houston TX			
				Manager, Director, Vice President - Finance & Treasurer, Falcon Seaboard Services, LLC - Houston, TX			
				Director, Vice President - Finance & Treasurer, Falcon South Power, Inc. - Houston, TX			
				Director, Vice President - Finance & Treasurer, FSI Management, L.L.C. - Houston TX			
				Manager, Director, Vice President - Finance & Treasurer, FSRI, L.L.C. - Houston, TX			
				Director - Biblica, Inc. - Colorado Springs CO			
				Director, The Houston Symphony Endowment			
James J. Dolan, Keewayden Island, FL, USA	Investments & Technology Services	Director	Director (TriState Capital Bank)	Chairman & Managing Member, Voyager Holdings II, LLC Pittsburgh, PA	0.2%	N/A	See Confidential Volume
			Director (Chartwell Investment Partners, LLC)	Chairman, Ascent Data - Pittsburgh, PA			
				Vice Chairman of Hall of Fame Resort & Entertainment Co. - Canton, OH			
				Director, PlanMember Services Corp.			
				Chairman and Director, The Pittsburgh Vintage Grand Prix Association			
				Chairman and Director, Going to the Sun Rally			
				Director, Shelby American Automobile Club			
Audrey P. Dunning, Pittsburgh, PA, USA	CEO of technology services company	Director	Director (TriState Capital Bank)	Chief Executive Officer of AMP Growth Advisors, LLC	< 0.1%	N/A	See Confidential Volume
Michael R. Harris, New York, NY, USA	Capital Markets	Director	Director (TriState Capital Bank)	Head of Capital Markets & Business Development for Citadel Securities	< 0.1%	N/A	See Confidential Volume
Timothy J. Riddle, Berwyn, PA, USA	N/A	None	Director & CEO (Chartwell Investment Partners LLC)	N/A	0.9%	N/A	See Confidential Volume
			Director (Chartwell TSC Securities Corp.)				
Kim A. Ruth, Brenham, TX, USA	Financial Advisory Services Executive	Director	Director (TriState Capital Bank)	Managing Director, Apex Partners, LLC	< 0.1%	N/A	See Confidential Volume

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				Director and Finance Committee Chair, Greater Houston Community Foundation (non-profit organization)			
John B. Yasinsky, Naples FL USA	N/A	Director	Director (TriState Capital Bank)	N/A	0.2%	N/A	See Confidential Volume