

Board of Governors of the Federal Reserve System



## Annual Report of Holding Companies—FR Y-6

### Report at the close of business as of the end of fiscal year

This Report is required by law: Section 5(c)(1)(A) of the Bank Holding Company Act (12 U.S.C. § 1844(c)(1)(A)); sections 8(a) and 13(a) of the International Banking Act (12 U.S.C. §§ 3106(a) and 3108(a)); sections 11(a)(1), 25, and 25A of the Federal Reserve Act (12 U.S.C. §§ 248(a)(1), 602, and 611a); and sections 113, 165, 312, 618, and 809 of the Dodd-Frank Act (12 U.S.C. §§ 5361, 5365, 5412, 1850a(c)(1), and 5468(b)(1)). Return to the appropriate Federal Reserve Bank the original and the number of copies specified.

This report form is to be filed by all top-tier bank holding companies, top-tier savings and loan holding companies, and U.S. intermediate holding companies organized under U.S. law, and by any foreign banking organization that does not meet the requirements of and is not treated as a qualifying foreign banking organization under Section 211.23 of Regulation K (12 C.F.R. § 211.23). (See page one of the general instructions for more detail of who must file.) The Federal Reserve may not conduct or sponsor, and an organization (or a person) is not required to respond to, an information collection unless it displays a currently valid OMB control number.

NOTE: The *Annual Report of Holding Companies* must be signed by one director of the top-tier holding company. This individual should also be a senior official of the top-tier holding company. In the event that the top-tier holding company does not have an individual who is a senior official and is also a director, the chairman of the board must sign the report. If the holding company is an ESOP/ESOT formed as a corporation or is an LLC, see the General Instructions for the authorized individual who must sign the report.

I, Richard J. Brunner

Name of the Holding Company Director and Official

Chairman & Chief Executive Officer

Title of the Holding Company Director and Official

attest that the *Annual Report of Holding Companies* (including the supporting attachments) for this report date has been prepared in conformance with the instructions issued by the Federal Reserve System and are true and correct to the best of my knowledge and belief.

With respect to information regarding individuals contained in this report, the Reporter certifies that it has the authority to provide this information to the Federal Reserve. The Reporter also certifies that it has the authority, on behalf of each individual, to consent or object to public release of information regarding that individual. The Federal Reserve may assume, in the absence of a request for confidential treatment submitted in accordance with the Board's "Rules Regarding Availability of Information," 12 C.F.R. Part 261, that the Reporter and individual consent to public release of all details in the report concerning that individual.

Richard J. Brunner

Signature of Holding Company Director and Official

03/22/2022

Date of Signature

For holding companies not registered with the SEC—

Indicate status of Annual Report to Shareholders:

- ☒ is included with the FR Y-6 report  
☐ will be sent under separate cover  
☐ is not prepared

#### For Federal Reserve Bank Use Only

RSSD ID \_\_\_\_\_

C.I. \_\_\_\_\_

Date of Report (top-tier holding company's fiscal year-end):

December 31, 2021

Month / Day / Year

549300ITBH2BJ141046

Reporter's Legal Entity Identifier (LEI) (20-Character LEI Code)

Reporter's Name, Street, and Mailing Address

Signature Bancorp, Inc.

Legal Title of Holding Company

4607 W. Sylvania Ave

(Mailing Address of the Holding Company) Street / P.O. Box

Toledo

OH

43623

City

State

Zip Code

Physical Location (if different from mailing address)

Person to whom questions about this report should be directed:

Shane A. Gibson

SVP Corporate Controller

Name

Title

419-841-7773

Area Code / Phone Number / Extension

419-517-7774

Area Code / FAX Number

sgibson@signaturebankna.com

E-mail Address

https://www.signaturebankna.com

Address (URL) for the Holding Company's web page

Is confidential treatment requested for any portion of this report submission? .....

0=No

1=Yes

1

In accordance with the General Instructions for this report (check only one),

1. a letter justifying this request is being provided along with the report ..... ☒  
2. a letter justifying this request has been provided separately ... ☐

NOTE: Information for which confidential treatment is being requested must be provided separately and labeled as "confidential."

**Results:** A list of branches for your depository institution **SIGNATURE BANK, NATIONAL ASSOCIATION (ID\_RSSD 3076604)**.  
This depository institution is held by **SIGNATURE BANCORP, INC. (3076592) of TOLEDO, OH**.  
The data are as of **12/31/2021**.

**Reconciliation and Verification Steps**

- 1. In the **Data Action** column of each branch row, enter one or more of the actions specified below
- 2. If required, enter the date in the **Effective Date** column

**Actions**

**OK:** If the branch information is correct, enter '**OK**' in the **Data Action** column.  
**Change:** If the branch information is incorrect or incomplete, revise the data, enter '**Change**' in the **Data Action** column and the date when this information first became valid in the **Effective Date** column.  
**Close:** If a branch listed was sold or closed, enter '**Close**' in the **Data Action** column and the sale or closure date in the **Effective Date** column.  
**Delete:** If a branch listed was never owned by this depository institution, enter '**Delete**' in the **Data Action** column.  
**Add:** If a reportable branch is missing, insert a row, add the branch data, and enter '**Add**' in the **Data Action** column and the opening or acquisition date in the **Effective Date** column.

If printing this list, you may need to adjust your page setup in MS Excel. Try using landscape orientation, page scaling, and/or legal sized paper.

**Submission Procedure**

When you are finished, send a saved copy to your FRB contact. See the detailed instructions on this site for more information.  
If you are e-mailing this to your FRB contact, put your institution name, city and state in the subject line of the e-mail.

Note  
To satisfy the **FR Y-10 reporting requirements**, you must also submit FR Y-10 Domestic Branch Schedules for each branch with a **Data Action** of **Change, Close, Delete, or Add**.  
The FR Y-10 report may be submitted in a hardcopy format or via the FR Y-10 Online application - <https://y10online.federalreserve.gov>.

\* FDIC UNINUM, Office Number, and ID\_RSSD columns are for reference only. Verification of these values is not required.

Data Action	Effective Date	Branch Service Type	Branch ID_RSSD*	Popular Name	Street Address	City	State	Zip Code	County	Country	FDIC UNINUM*	Office Number*	Head Office	Head Office ID_RSSD*	Comments
OK		Full Service (Head Office)	3076604	SIGNATURE BANK, NATIONAL ASSOCIATION	4607 WEST SYLVANIA AVE.	TOLEDO	OH	43623	LUCAS	UNITED STATES	Not Required	Not Required	SIGNATURE BANK, NATIONAL ASSOCIATION	3076604	
OK		Limited Service	3746251	SYLVANIA AVE TOLEDO COURIER OFFICE	4607 WEST SYLVANIA AVENUE	TOLEDO	OH	43623	LUCAS	UNITED STATES	Not Required	Not Required	SIGNATURE BANK, NATIONAL ASSOCIATION	3076604	

Form FR Y-6  
Signature Bancorp, Inc.  
Toledo, Ohio  
Fiscal Year Ending December 31, 2021

**"PUBLIC" Report Volume**

Report Item

1: The BHC prepares an annual report prepared for its shareholders, a copy of which is submitted herewith.

2a: Organizational Chart:

("BHC") Signature Bancorp, Inc. Toledo, Ohio State of Incorporation - Ohio Legal Entity Identifier: 549300ITBH2BJ1141046		
	100 %	
Signature Bank, National Association Toledo, Ohio Legal Entity Identifier: 549300MONA3L2BDK8Q22		
	100%	
SBNA Investments, LLC (Managing Member) Toledo, Ohio State of Incorporation - Ohio Legal Entity Identifier: N/A		
	20%	
Savage Mortgage Services LTD (Limited Partner) Toledo, Ohio State of Incorporation - Ohio Legal Entity Identifier: N/A		

2b: Domestic Branch Listing - Submitted as a separate file in the same secure email in March 2022. No changes.

3: Securities Holders / Shareholders:

3:1 (a, b, c) None. We have no "Current Shareholders" of record that directly or indirectly owns, controls, holds, or has the power to vote 5 percent or more of any class of securities (including vested stock options) of the BHC as of fiscal year ending 12/31/2021.

3:2 (a, b, c) None. We had no "Shareholders of record during the year" that directly or indirectly owned, controlled, held, or had the power to vote 5 percent or more of any class of securities (including vested stock options) of the BHC during the fiscal year ending 12/31/2021.

Form FR Y-6  
**Signature Bancorp, Inc.**  
**Toledo, Ohio**  
**Fiscal Year Ending December 31, 2021**

**"PUBLIC" Report Volume**

Report Item

4: Insiders:

4:1	4:2	4:3( a )	4:3( b )	4:3( c )
Name & Address (City, State / Country)	Principal Occupation if Other than with BHC	Title & Position with BHC	Title & Position with Subsidiary Bank	Title & Position with Other Businesses
Richard J. Brunner Toledo, Ohio USA	None	Director, Chairman, & CEO	Director, Chairman & CEO	None Applicable (per Y-6 Instructions)
David A. Reed Maumee, Ohio USA	None	Director & Executive Vice Chairman	Director & Executive Vice Chairman	None Applicable (per Y-6 Instructions)
Carol A. Savage Perrysburg, Ohio USA	None	Director & COO	Director & COO	None Applicable (per Y-6 Instructions)
John S. Szuch Perrysburg, Ohio USA	None	Director & Executive Officer	Director & Executive Officer	None Applicable (per Y-6 Instructions)
Barbara A. Berebitsky Holland, Ohio USA	None	Executive Vice President	Executive Vice President	None Applicable (per Y-6 Instructions)
Robert D. Shamy Sylvania, Ohio USA	None	Director & President	Director & President	None Applicable (per Y-6 Instructions)
Nancy L. Feltz Sylvania, Ohio USA	None	Senior Vice President - Credit Administration	Senior Vice President - Credit Administration	None Applicable (per Y-6 Instructions)
Alison K. Frye Perrysburg, Ohio USA	None	Senior Vice President - Treasury Management	Senior Vice President - Treasury Management	None Applicable (per Y-6 Instructions)
Shane A. Gibson Whitehouse, Ohio USA	None	Senior Vice President - Finance & Accounting	Senior Vice President - Finance & Accounting	None Applicable (per Y-6 Instructions)
Steven D. Leamy Sylvania, Ohio USA	None	Senior Vice President & Senior Loan Officer	Senior Vice President & Senior Loan Officer	None Applicable (per Y-6 Instructions)
Joshua J. Schuyler Waterville, Ohio USA	None	Senior Vice President - Client Development	Senior Vice President - Client Development	None Applicable (per Y-6 Instructions)
David L. Bishop Perrysburg, Ohio USA	Retired CEO, Matrix Technologies Inc. (Project engineering services for manufacturing facilities)	Director	Director	Retired CEO, Matrix Technologies Inc. (See "Confidential" Volume for separately submitted information)

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**Signature Bancorp, Inc.**  
**Toledo, Ohio**  
**Fiscal Year Ending December 31, 2021**

**"PUBLIC" Report Volume**

Report Item

4: Insiders (continued):

4:1	4:2	4:3( a )	4:3( b )	4:3( c )
Name & Address (City, State / Country)	Principal Occupation if Other than with BHC	Title & Position with BHC	Title & Position with Subsidiary Bank	Title & Position with Other Businesses
Michael J. Fischer Temperance, MI USA	Founder and Former CEO Fischer Tool & Die Corporation (Manufacturer / repair of large dies for automotive industry)	Director	Director	(See "Confidential" Volume for separately submitted information)
Stephen A. Hirzel Pemberville, Ohio USA	President, Hirzel Canning Company (Processor of tomatoes and tomato products)	Director	Director	None Applicable (per Y-6 Instructions)
Kathryn S. Hoops Perrysburg, Ohio USA	Retired - VP, Financial Services HCR Manor Care (Long Term Health Care)	Director	Director	(See "Confidential" Volume for separately submitted information)
Matthew B. Kripke Toledo, Ohio USA	CEO, Kripke Enterprises Inc., Mid South Aluminum (Non-ferrous metals broker)	Director	Director	(See "Confidential" Volume for separately submitted information)
Gus J. Mancy Toledo, Ohio USA	Partner, Mancy's Resturaunt Group (dba, Mancy's Steakhouse)	Director	Director	(See "Confidential" Volume for separately submitted information)
C. Michael Smith Holland, Ohio USA	Chairman Emeritus, The Mannik & Smith Group, Inc. (Civil Engineering & Consulting)	Director	Director	(See "Confidential" Volume for separately submitted information)
R. Jeffery Stephens Perrysburg, Ohio USA	Retired - R. Jeffery Stephens, DDS (Periodontist)	Director	Director	(See "Confidential" Volume for separately submitted information)

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**Signature Bancorp, Inc.**  
**Toledo, Ohio**  
**Fiscal Year Ending December 31, 2021**

**"PUBLIC" Report Volume**

Report Item

4: Insiders (continued):

4:1	4:4( a )	4:4( b )	4:4( c )
Name & Address (City, State / Country)	Percentage of Voting Shares in BHC	Percentage of Voting Shares in Subsidiary	Significant Ownership in Other Companies
Richard J. Brunner Toledo, Ohio USA	2.64% (=2.86% with vested options)	None (BHC owns 100 %)	None
David A. Reed Maumee, Ohio USA	1.98% (= 2.19% with vested options)	None (BHC owns 100 %)	None
Carol A. Savage Perrysburg, Ohio USA	2.91% (= 3.05% with vested options)	None (BHC owns 100 %)	None
John S. Szuch Perrysburg, Ohio USA	0.55% (= 0.70% with vested options)	None (BHC owns 100 %)	None
Robert D. Shamy Sylvania, Ohio USA	0.66% (= 0.70% with vested options)	None (BHC owns 100 %)	None
Barbara A. Berebtisky Holland, Ohio USA	0.27% (= 0.39% with vested options)	None (BHC owns 100 %)	None
Nancy L. Feltz Sylvania, Ohio USA	0.29% (= 0.29% with vested options)	None (BHC owns 100 %)	None
Alison K. Frye Perrysburg, Ohio USA	0.03% (= 0.02% with vested options)	None (BHC owns 100 %)	None
Shane A. Gibson Whitehouse, Ohio USA	0.07% (= 0.07% with vested options)	None (BHC owns 100 %)	None
Steven D. Leamy Sylvania, Ohio USA	0.44% (= 0.44% with vested options)	None (BHC owns 100 %)	None
Joshua J. Schuyler Waterville, Ohio USA	0.21% (= 0.20% with vested options)	None (BHC owns 100 %)	None

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**Signature Bancorp, Inc.**  
**Toledo, Ohio**  
**Fiscal Year Ending December 31, 2021**

**"PUBLIC" Report Volume**

Report Item

4: Insiders (continued):

4:1	4:4( a )	4:4( b )	4:4( c )
Name & Address (City, State / Country)	Percentage of Voting Shares in BHC	Percentage of Voting Shares in Subsidiary	Significant Ownership in Other Companies
David L. Bishop Perrysburg, Ohio USA	0.42% (=0.41% with vested options)	None (BHC owns 100 %)	None (See "Confidential" Section for separately submitted information)
Michael J. Fischer Temperance, MI USA	1.16% (=1.15% with vested options)	None (BHC owns 100 %)	50% Fischer Industries LLC (See "Confidential" Section for separately submitted information)
Stephen A. Hirzel Pemberville, Ohio USA	0.05% (=0.05% with vested options)	None (BHC owns 100 %)	None (See "Confidential" Section for separately submitted information)
Kathryn S. Hoops Perrysburg, Ohio USA	1.01% (= 1.00% with vested options)	None (BHC owns 100 %)	None (See "Confidential" Section for separately submitted information)
Matthew Kripke Toledo, OH USA	0.58% (=0.57% with vested options)	None (BHC owns 100 %)	100% Kripke Enterprises, Inc. (See "Confidential" Section for separately submitted information)
Gus J. Mancy Toledo, Ohio USA	1.55% (=1.54% with vested options)	None (BHC owns 100 %)	50% Mancy's Restaurant, Inc. (See "Confidential" Section for separately submitted information)
C. Michael Smith Holland, Ohio USA	0.32% (=0.32% with vested options)	None (BHC owns 100 %)	None (See "Confidential" Section for separately submitted information)
R. Jeffery Stephens Perrysburg, Ohio USA	1.47% (=1.46% with vested options)	None (BHC owns 100 %)	50% JD Stephens Investments LTD (See "Confidential" Section for separately submitted information)