

Annual Report of Holding Companies—FR Y-6

Report at the close of business as of the end of fiscal year

This Report is required by law: Section 5(c)(1)(A) of the Bank Holding Company Act (12 U.S.C. § 1844(c)(1)(A)); sections 8(a) and 13(a) of the International Banking Act (12 U.S.C. §§ 3106(a) and 3108(a)); sections 11(a)(1), 25, and 25A of the Federal Reserve Act (12 U.S.C. §§ 248(a)(1), 602, and 611a); and sections 113, 165, 312, 618, and 809 of the Dodd-Frank Act (12 U.S.C. §§ 5361, 5365, 5412, 1850a(c)(1), and 5468(b)(1)). Return to the appropriate Federal Reserve Bank the original and the number of copies specified.

NOTE: The Annual Report of Holding Companies must be signed by one director of the top-tier holding company. This individual should also be a senior official of the top-tier holding company. In the event that the top-tier holding company does not have an individual who is a senior official and is also a director, the chairman of the board must sign the report. If the holding company is an ESOP/ESOT formed as a corporation or is an LLC, see the General Instructions for the authorized individual who must sign the report.

I, Timothy R Aiken

Name of the Holding Company Director and Official

President/CEO

Title of the Holding Company Director and Official

attest that the *Annual Report of Holding Companies* (including the supporting attachments) for this report date has been prepared in conformance with the instructions issued by the Federal Reserve System and are true and correct to the best of my knowledge and belief.

With respect to information regarding individuals contained in this report, the Reporter certifies that it has the authority to provide this information to the Federal Reserve. The Reporter also certifies that it has the authority, on behalf of each individual, to consent or object to public release of information regarding that individual. The Federal Reserve may assume, in the absence of a request for confidential treatment submitted in accordance with the Board's "Rules Regarding Availability of Information," 12 C.F.R. Part 261, that the Reporter and individual consent to public release of all details in the report concerning that individual.

| details in the report concerning that individual. |
|--|
| Lant R. Ceth |
| Signature of Holding Company Director and Official |
| 2/9/2022 |
| Date of Signature |
| For holding companies not registered with the SEC- |
| Indicate status of Annual Report to Shareholders: |
| is included with the FR Y-6 report |
| will be sent under separate cover |
| is not prepared |
| For Federal Reserve Bank Use Only |
| RSSD ID |
| C.I |

This report form is to be filed by all top-tier bank holding companies, top-tier savings and loan holding companies, and U.S. intermediate holding companies organized under U.S. law, and by any foreign banking organization that does not meet the requirements of and is not treated as a qualifying foreign banking organization under Section 211.23 of Regulation K (12 C.F.R. § 211.23). (See page one of the general instructions for more detail of who must file.) The Federal Reserve may not conduct or sponsor, and an organization (or a person) is not required to respond to, an information collection unless it displays a currently valid OMB control number.

| to, an information collection of the control number. | | | | | | | | | | | |
|---|---------------------------------|-------------------|--|--|--|--|--|--|--|--|--|
| Date of Report (top-tier ho | olding company's fis | cal year-end): | | | | | | | | | |
| 12/31/2021 | | | | | | | | | | | |
| Month / Day / Year | | | | | | | | | | | |
| None | | | | | | | | | | | |
| Reporter's Legal Entity Identifier (L | El) (20-Character LEI Co | ode) | | | | | | | | | |
| Reporter's Name, Street, a | nd Mailing Address | | | | | | | | | | |
| Hometown Bancshares, | Inc. | | | | | | | | | | |
| Legal Title of Holding Company | | | | | | | | | | | |
| P.O. Box 145 | | | | | | | | | | | |
| (Mailing Address of the Holding Co | ompany) Street / P.O. Box | 1 | | | | | | | | | |
| Middlebourne | West Virginia | 26149 | | | | | | | | | |
| City | State | Zip Code | | | | | | | | | |
| 103 Dodd Street | | | | | | | | | | | |
| Physical Location (if different from | mailing address) | | | | | | | | | | |
| Person to whom questions Tammy Waggoner | about this report sh EVP/CFO | ould be directed: | | | | | | | | | |
| Name | Title | | | | | | | | | | |
| 304-652-3531 | | | | | | | | | | | |
| Area Code / Phone Number / Exte | nsion | | | | | | | | | | |
| 304-652-1691 | | | | | | | | | | | |
| Area Code / FAX Number | | | | | | | | | | | |
| twaggoner@hometownb | anc.com | | | | | | | | | | |
| E-mail Address | | | | | | | | | | | |
| www.hometownbanc.co | | | | | | | | | | | |
| Address (URL) for the Holding Cor | npany's web page | | | | | | | | | | |
| | | £ 0=No | | | | | | | | | |
| Is confidential treatment requestins report submission? | | of 1=Yes 0 | | | | | | | | | |
| In accordance with the Gener (check only one), | al Instructions for this r | eport | | | | | | | | | |
| a letter justifying this rec with the report | | d along | | | | | | | | | |
| 2. a letter justifying this red | quest has been provide | ed separately 🔲 | | | | | | | | | |

NOTE: Information for which confidential treatment is being requested

must be provided separately and labeled

as "confidential."

Public reporting burden for this information collection is estimated to vary from 1.3 to 101 hours per response, with an average of 5.50 hours per response, including time to gather and maintain data in the required form and to review instructions and complete the information collection. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to; Secretary, Board of Governors of the Federal Reserve System, 20th and C Streets, NW, Washington, DC 20551, and to the Office of Management and Budget, Paperwork Reduction Project (7100-0297), Washington, DC 20503.

Form FR Y-6 Hometown Bancshares, Inc. Middlebourne, WV Year Ending December 31, 2021

1. Report Item

- a. The BHC is not required to file Form 10-K with SEC.
- b. The BHC's audited financial statements are used as its annual report to shareholders. Copies will be sent under separate cover.

2-A. Organizational Chart

| Hometown Bancshares, Inc. Middlebourne, WV Incorporated in WV(LEI is N/A) | | Hometown (WV) Statutory Trust I Hartford, CT Incorporated in CT (LEI is N/A) | |
|---|------|--|------|
| / | 100% | | 100% |
| Union Bank Inc., Middlebourne, WV Incorporated in WV (LEI is N/A) | | | |
| 1 | 100% | | |
| U.B. Financial Services, Inc., Middlebourne, WV Incorporated in WV (LEI is N/A) | | | |

Results: A list of branches for your depository institution: UNION BANK INC. (ID RSSO: 667029).
This depository institution is held by HOMETOWN BANCSHARES INC. [2687380] Of MIDOLEBOURNE WV.
The data are as of 1/23/1201.

Reconciliation and Verification Steps

1. In the Data Action column of each branch row enter one or more of the actions specified below

2. If required enter the date in the Effective Date column

Actions

Oit if the pranch information is correct enter 'Oit' in the Data Action column.

Change: If the branch information is incorrect or incomplete revise the data enter 'Change' in the Data Action column and the date when this information first became valid in the Effective Date column.

Close: If a branch sixted was sold or closed enter 'Cose' in the Data Action column and the sale or closure date in the Effective Date column.

Detect: If a branch sixted was never wound by this depository institution enter 'Detect' in the Data Action column and the opening or acquisition date in the Effective Date column.

Add: If a reportable branch is missing insert a row add the branch data and enter 'Add' in the Data Action column and the opening or acquisition date in the Effective Date column.

If printing this list you may need to adjust your page setup in MS Excel. Try using landscape orientation page scaling and/or legal sized paper.

Submission Procedure

When you are finished send a saved copy to your FRB contact. See the detailed instructions on this site for more information. If you are e-mailing this to your FRB contact put your institution name city and state in the subject line of the e-mail.

Note:
To satisfy the FR Y-10 reporting requirements you must also submit FR Y-10 Domestic Branch Schedules for each branch with a Data Action of Change Close Delete or Add.
The FR Y-10 report may be submitted in a hardcopy format or via the FR Y-10 Online application - https://y10online.federalreserve.gov.

* FDIC UNINUM Office Number and ID RSSD columns are for reference only. Verification of these values is not required.

| Data Action | Effective Date | Branch Service Type | Branch ID_RSSD® | Popular Name | Street Address | City | State | Zip Code | County | Country | FDIC UNINUM® | Office Number® | Head Office | Head Office ID_RSSD® | Comments |
|-------------|----------------|----------------------------|-----------------|------------------------------|-----------------------|------------------|-------|----------|-----------|---------------|--------------|----------------|-----------------|----------------------|----------|
| OK | | Full Service (Head Office) | 667029 | UNION BANK INC. | 103 DODD STREET | MIDDLEBOURNE | wv | 26149 | TYLER | UNITED STATES | Not Required | Not Required | UNION BANK INC. | 667029 | |
| OK | | Full Service | 3668746 | ELLENBORO BRANCH | 90 MAIN STREET | ELLENBORO | wv | 26346 | RITCHIE | UNITED STATES | Not Required | Not Required | UNION BANK INC. | 667029 | |
| OK | | Full Service | 3668755 | 1500 WEST MAIN STREET BRANCH | 1500 EAST MAIN STREET | HARRISVILLE | wv | 26362 | RITCHIE | UNITED STATES | Not Required | Not Required | UNION BANK INC. | 667029 | |
| OK | | Full Service | 3668821 | HUNDRED OFFICE BRANCH | STATE ROUTE 250 NORTH | HUNDRED | wv | 26575 | WETZEL | UNITED STATES | Not Required | Not Required | UNION BANK INC. | 667029 | |
| OK | | Full Service | 4650832 | NEW MARTINSVILLE BRANCH | 638 NORTH ST. RT 2 | NEW MARTINSVILLE | wv | 26155 | WETZEL | UNITED STATES | Not Required | Not Required | UNION BANK INC. | 667029 | |
| OK | | Full Service | 3668812 | PENNSBORO BRANCH | 214 MASONIC AVENUE | PENNSBORO | wv | 26415 | RITCHIE | UNITED STATES | Not Required | Not Required | UNION BANK INC. | 667029 | |
| OK | | Limited Service | 971436 | PLAZA BRANCH | 340 SECOND STREET | SAINT MARYS | wv | 26170 | PLEASANTS | UNITED STATES | Not Required | Not Required | UNION BANK INC. | 667029 | |
| OK | | Full Service | 840532 | ST. MARY'S OFFICE | 401 2ND STREET | SAINT MARYS | wv | 26170 | PLEASANTS | UNITED STATES | Not Required | Not Required | UNION BANK INC. | 667029 | |
| OK | | Full Service | 671325 | SISTERSVILLE BRANCH | 700 WELLS STREET | SISTERSVILLE | wv | 26175 | TYLER | UNITED STATES | Not Required | Not Required | UNION BANK INC. | 667029 | |

2-B. Emailed to <u>Structure@clev.frb.org</u> on 2-9-2022.

ITEM 3.

(1) Current Shareholders holding or owning 5% or more as of 12/31/2021

| (a) Name, City, State, County | (b) Citizenship | (c) No. Shares / Pct. Owned | | |
|--|-----------------|--|--|--|
| Hometown Bancshares Inc., 401-K Profit Sharing Plan Middlebourne, WV USA | USA | 10,816 12.30% Common Stock | | |
| Timothy R. Aiken Middlebourne, WV USA | USA | 6,149 *7.02% Common Stock includes 4,042 shares held in company ESOP | | |
| Gary A. and Penny K. Midcap Middlebourne, WV USA | USA | 4,741 5.39% Common Stock | | |

(2) Shareholders (not listed above) who owned or held 5% or more during 2021.

NONE

ITEM 4.

| (1) Name & City, State, Country | (2) Principal Occupation (other than with BHC) | (3)(a) Title/Position with BHC | (3)(b) Title/Position | (3)(c) Title/Position | (4)(a) PCT Voting | (4)(b) % of voting | (4)(c) Other Companies |
|---------------------------------------|--|--------------------------------|-----------------------|-----------------------|----------------------|-----------------------|------------------------|
| City, State, Country | (other than with BHC) | with BHC | with Sub | other Business | Shares in BHC | shares in Sub | which 25% or more |
| | | | | | | | voting securities held |

| Timothy R. Aiken Middlebourne, WV USA | N/A | President & Director | President, CEO & Director (Union Bank Inc.) President (U.B. Financial Ser | N/A rvices) | 7.02%* | NA | Timothy R. Aiken Trust (100%) |
|---|--|-------------------------|---|---|--------------|------------------|--|
| Jeffery N Sprouse Paden City, WV USA | Retired | Director | Member- Director (Union Bank Inc.) | 3.63% Riverview Partners, I | Rivervio | ew Partner NA | s, LLC (50%) |
| Jeffrey A. Davis Middlebourne, WV USA | Treasurer Tyler County Board of Education | Director | Director (Union Bank Inc.) | N/A | 2.15% | NA | N/A |
| Kenneth R. Mason Alma, WV USA | Oil & Gas Exploration & Production | Director | Director Di (Union Bank Inc.) | President/ rilling Appalachian Corp President/DAC Energ President/Summerhil VP/Acropolis | | NA | Drilling Appalachian Corp. (100%) DAC Energy, LLC (100%) Summer Hill Farms (51%) Acropolis (50%) |
| Deborah A Hassig New Martinsville, WV USA | Not presently employed | Director | Director (Union Bank Inc.) | President-Thun Man Member-Stokley Pro Manager-Abnepos L | perties, LLC | NA | Thun Management (50%) Stokley Properties, LLC (50%) Abnepos, LLC (37.5%) |
| Patrick I Morris Sistersville, WV USA | Retail Grocer | Director | Director (Union Bank Inc.) | President Sistersville Foods, L President-Curby's In President-Packys Pla | c. | NA | Sistersville Foods, LLC (100%) Curby's Inc. (100%) Packys Place LLC (100%) |
| Charles Richard Neale Jr Parkersburg, WV USA | Tow Boat Owner/Operator | Director | Director (Union Bank Inc.) | President-Briscoe Ru Member-WV Towbo Member-Third Coacl | ats LLC | Co Inc. | Briscoe Run (100%) WV Towboats, LLC (50%) Third Coach, LLC (50%) |

| Tammy Waggoner New Martinsville, WV USA | Position w/ Sub | Director | Asst. Sec/Treasurer N/A EVP &CFO Director (Union Bank Inc.) | | .31%* | N/A | N/A |
|--|--|------------------------|---|-----|-------|-----|-----|
| Robert Feldmeier Jr. New Martinsville, WV USA | Manager Health, Safety and Environment, Westlak | Director e Chemical | Director (Union Bank Inc.) | N/A | .11% | N/A | N/A |

^{*}Includes ESOP shares

** NOTE: None of the above directors and officers have an ownership interest in any subsidiaries or other affiliates.