

# Annual Report of Holding Companies—FR Y-6

## Report at the close of business as of the end of fiscal year

This Report is required by law: Section 5(c)(1)(A) of the Bank Holding Company Act (12 U.S.C. § 1844(c)(1)(A)); sections 8(a) and 13(a) of the International Banking Act (12 U.S.C. §§ 3106(a) and 3108(a)); sections 11(a)(1), 25, and 25A of the Federal Reserve Act (12 U.S.C. §§ 248(a)(1), 602, and 611a); and sections 113, 165, 312, 618, and 809 of the Dodd-Frank Act (12 U.S.C. §§ 5361, 5365, 5412, 1850a(c)(1), and 5468(b)(1)). Return to the appropriate Federal Reserve Bank the original and the number of copies specified.

NOTE: The Annual Report of Holding Companies must be signed by one director of the top-tier holding company. This individual should also be a senior official of the top-tier holding company. In the event that the top-tier holding company does not have an individual who is a senior official and is also a director, the chairman of the board must sign the report. If the holding company is an ESOP/ESOT formed as a corporation or is an LLC, see the General Instructions for the authorized individual who must sign the report.

I, Jean R. Hale

Name of the Holding Company Director and Official

Chairman, President and CEO

Title of the Holding Company Director and Official

attest that the Annual Report of Holding Companies (including the supporting attachments) for this report date has been prepared in conformance with the instructions issued by the Federal Reserve System and are true and correct to the best of my knowledge and belief.

With respect to information regarding individuals contained in this report, the Reporter certifies that it has the authority to provide this information to the Federal Reserve. The Reporter also certifies that it has the authority, on behalf of each individual, to consent or object to public release of information regarding that individual. The Federal Reserve may assume, in the absence of a request for confidential treatment submitted in accordance with the Board's "Rules Regarding Availability of Information," 12 C.F.R. Part 261, that the Reporter and individual consent to public release of all details in the report concerning that individual.

details in the report concerning that individual.
Signature of Holding Company Director and Officia
Date of Signature
For holding companies <u>not</u> registered with the SEC- Indicate status of Annual Report to Shareholders:
is included with the FR Y-6 report
will be sent under separate cover
☐ is not prepared
For Federal Reserve Bank Use Only
RSSD ID

This report form is to be filed by all top-tier bank holding companies, top-tier savings and loan holding companies, and U.S. intermediate holding companies organized under U.S. law, and by any foreign banking organization that does not meet the requirements of and is not treated as a qualifying foreign banking organization under Section 211.23 of Regulation K (12 C.F.R. § 211.23). (See page one of the general instructions for more detail of who must file.) The Federal Reserve may not conduct or sponsor, and an organization (or a person) is not required to respond to, an information collection unless it displays a currently valid OMB control number.

OMB control number. Date of Report (top-tier holding company's fiscal year-end): December 31, 2020 Month / Day / Year Reporter's Legal Entity Identifier (LEI) (20-Character LEI Code) Reporter's Name, Street, and Mailing Address Community Trust Bancorp, Inc. Legal Title of Holding Company P.O. Box 2947 (Mailing Address of the Holding Company) Street / P.O. Box Pikeville KY 41502-2947 City State Zio Code 346 North Mayo Trail Physical Location (if different from mailing address) Person to whom questions about this report should be directed: Taylora G. Newsome VP/Financial Analyst III 606-432-1414 Area Code / Phone Number / Extension 606-218-8300 Area Code / FAX Number newsomta@ctbi.com E-mail Address www.ctbi.com Address (URL) for the Holding Company's web page 0=No is confidential treatment requested for any portion of 0 this report submission? ..... 1=Yes in accordance with the General Instructions for this report (check only one), 1. a letter justifying this request is being provided along with the report....... a letter justifying this request has been provided separately ... NOTE: Information for which confidential treatment is being requested must be provided separately and labeled as "confidential."

Public reporting burden for this information collection is estimated to vary from 1.3 to 101 hours per response, with an average of 5.50 hours per response, including time to gather and maintain data in the required form and to review instructions and complete the information collection. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to: Secretary, Board of Governors of the Federal Reserve System, 20th and C Streets, NW, Washington, DC 20551, and to the Office of Management and Budget, Paperwork Reduction Project (7100-0297), Washington, DC 20503.

#### FR Y-6 REPORT ITEMS

#### 1: ANNUAL REPORT TO SHAREHOLDERS

Community Trust Bancorp, Inc. is registered with the Securities and Exchange Commission; therefore, an annual report is not being submitted.

### 2a: ORGANIZATION CHART

The Corporation is submitting a copy of its organization chart.

Community Trust Bancorp, Inc. has no companies reportable on the FR Y-10 that are not reportable on the FR Y-6 organization chart.

## 2b: DOMESTIC BRANCH LISTING

The Corporation is submitting a verified list of all domestic branches of Community Trust Bank, Inc.

#### 3: SECURITIES HOLDERS

(1) The Corporation is submitting a list of all shareholders of record that directly or indirectly own, control, or hold with power to vote 5% or more of the Corporation's common stock.

(2) N/A

### 4: INSIDERS

The Corporation is including a list of each principal securities holder, director, trustee, partner, executive officer, or person exercising similar functions, regardless of title or compensation, as of the fiscal year end of the bank holding company, showing (1) name and address, (2) principal occupation, (3) title with holding company and subsidiaries, and (4) number of shares and percentage of securities of the bank holding company owned and ownership in other companies.

Results: A list of branches for your depository institution COMMUNITY TRUST BANK, INC. (ID\_RSSD 509811).

This depository institution is held by COMMUNITY TRUST BANCORP, INC. (1070644) of PIKEVILLE, KY.

The data are as of 12/31/2020. Data reflects information that was received and processed through 01/05/2021.

#### Reconciliation and Verification Steps

1. In the Data Action column of each branch row, enter one or more of the actions specified below

2. If required, enter the date in the Effective Date column

#### Actions

OK: If the branch information is correct, enter 'OK' in the Data Action column.

Change: If the branch information is incorrect or incomplete, revise the data, enter 'Change' in the Data Action column and the date when this information first became valid in the Effective Date column.

Close: If a branch listed was sold or closed, enter 'Close' in the Data Action column and the sale or closure date in the Effective Date column.

Delete: If a branch listed was never owned by this depository institution, enter 'Delete' in the Data Action column.

Add: If a reportable branch is missing, insert a row, add the branch data, and enter 'Add' in the Data Action column and the opening or acquisition date in the Effective Date column.

If printing this list, you may need to adjust your page setup in MS Excel. Try using landscape orientation, page scaling, and/or legal sized paper

#### Submission Procedure

When you are finished, send a saved copy to your FRB contact. See the detailed instructions on this site for more information.

If you are e-mailing this to your FRB contact, put your institution name, city and state in the subject line of the e-mail.

#### Note

To satisfy the FR Y-10 reporting requirements, you must also submit FR Y-10 Domestic Branch Schedules for each branch with a Data Action of Change, Close, Delete, or Add.

The FR Y-10 report may be submitted in a hardcopy format or via the FR Y-10 Online application - https://y10online.federalreserve.gov.

\* FDIC UNINUM, Office Number, and ID\_RSSD columns are for reference only. Verification of these values is not required.

Data Action	Effective Date Branch Service Type	Branch ID_RSSD*	Popular Name	Street Address	City	State	Zip Code	County	Country	FDIC UNINUM*	Office Number*	Head Office	Head Office ID_RSSD* Comments
OK	Full Service (Head Office)	509811	COMMUNITY TRUST BANK, INC.	346 NORTH MAYO TRAIL	PIKEVILLE	KY	41501	PIKE	UNITED STATES	Not Required	Not Required	COMMUNITY TRUST BANK, INC.	509811
OK	Full Service	3402119	ALLEN BRANCH	6424 KY ROUTE 1428	ALLEN	KY	41601	FLOYD	UNITED STATES	Not Required	Not Required	COMMUNITY TRUST BANK, INC.	509811
ОК	Full Service		ASHLAND MAIN BRANCH	1544 WINCHESTER AVENUE	ASHLAND	KY	41101	BOYD	UNITED STATES		Not Required	COMMUNITY TRUST BANK, INC.	509811
OK	Full Service		ASHLAND SUMMIT BRANCH	7100 US ROUTE 60	ASHLAND	KY	41102	BOYD	UNITED STATES	Not Required	Not Required	COMMUNITY TRUST BANK, INC.	509811
OK	Full Service		ASHLAND WESTWOOD BRANCH	721 WHEATLEY ROAD	ASHLAND	KY	41101	BOYD	UNITED STATES		Not Required	COMMUNITY TRUST BANK, INC.	509811
OK	Full Service		SOUTH ASHLAND BRANCH	2101 29TH STREET	ASHLAND	KY	41101	BOYD	UNITED STATES		Not Required	COMMUNITY TRUST BANK, INC.	509811
OK	Full Service		BEREA NORTH OFFICE	525 WALNUT MEADOW ROAD	BEREA	KY	40403	MADISON	UNITED STATES		Not Required	COMMUNITY TRUST BANK, INC.	509811
OK	Full Service		CAMPBELLSVILLE BRANCH	1218 EAST BROADWAY	CAMPBELLSVILLE	KY	42718	TAYLOR	UNITED STATES		Not Required	COMMUNITY TRUST BANK, INC.	509811
OK	Full Service		CAMPBELLSVILLE BYBASS BRANCH	402 CAMBELLSVILLE BYPASS	CAMPBELLSVILLE	KY	42718	TAYLOR	UNITED STATES	Not Required	Not Required	COMMUNITY TRUST BANK, INC.	509811
OK	Full Service		CAMPBELLSVILLE COLUMBIA BRANCH	1005 JAMESTOWN STREET	COLUMBIA	KY	42728	ADAIR	UNITED STATES		Not Required	COMMUNITY TRUST BANK, INC.	509811
OK	Full Service		WILLIAMSBURG CORBIN BRANCH	678 US 25 WEST	CORBIN	KY	40701	LAUREL	UNITED STATES		Not Required	COMMUNITY TRUST BANK, INC.	509811
OK	Full Service		DANVILLE MAIN STREET	462 WEST MAIN STREET	DANVILLE	KY	40423	BOYLE	UNITED STATES		-	COMMUNITY TRUST BANK, INC.	509811
OK	Full Service		DANVILLE MANOR BRANCH	1560 HUSTONVILLE ROAD	DANVILLE	KY	40423	BOYLE	UNITED STATES	Not Required Not Required	Not Required Not Required	COMMUNITY TRUST BANK, INC.	509811
OK	Full Service		ELKHORN CITY BRANCH	211 RUSSELL STREET	ELKHORN CITY	KY	41522	PIKE	UNITED STATES			COMMUNITY TRUST BANK, INC.	509811
OK											Not Required		509811
OIL	Full Service		MARROWBONE BRANCH	10579 REGINA BELCHER HIGHWAY	ELKHORN CITY	KY	41522	PIKE	UNITED STATES	Not Required	Not Required	COMMUNITY TRUST BANK, INC.	
OK	Full Service		EWING BRANCH	1527 EWING ROAD	EWING	KY	41039	FLEMING	UNITED STATES		Not Required	COMMUNITY TRUST BANK, INC.	509811
OK	Full Service		FLEMINGSBURG BRANCH	36 BROOKHAVEN DRIVE	FLEMINGSBURG	KY	41041	FLEMING	UNITED STATES		Not Required	COMMUNITY TRUST BANK, INC.	509811
OK	Full Service		SOUTH RIDGE BRANCH	108 CLARK STREET	FLEMINGSBURG	KY	41041	FLEMING	UNITED STATES	Not Required	Not Required	COMMUNITY TRUST BANK, INC.	509811
OK	Full Service		FRANKFORT WEST BRANCH	1205 SOUTH HIGHWAY 127	FRANKFORT	KY	40601	FRANKLIN	UNITED STATES		Not Required	COMMUNITY TRUST BANK, INC.	509811
OK	Full Service		VERSAILLES FRANKFORT EAST BRANCH	427 VERSAILLES ROAD	FRANKFORT	KY	40601	FRANKLIN	UNITED STATES		Not Required	COMMUNITY TRUST BANK, INC.	509811
OK	Full Service		VERSAILLES GEORGETOWN WALMART BRANCH	112 OSBORNE WAY	GEORGETOWN	KY	40324	SCOTT	UNITED STATES		Not Required	COMMUNITY TRUST BANK, INC.	509811
OK	Full Service		CAMPBELLSVILLE GREENSBURG BRANCH	205 SOUTH MAIN STREET	GREENSBURG	KY	42743	GREEN	UNITED STATES		Not Required	COMMUNITY TRUST BANK, INC.	509811
OK	Full Service	530945	HARRODSBURG BRANCH	570 CHESTNUT STREET	HARRODSBURG	KY	40330	MERCER	UNITED STATES	Not Required	Not Required	COMMUNITY TRUST BANK, INC.	509811
OK	Full Service	3150803	HAZARD AIRPORT GARDENS BRANCH	1665 COMBS ROAD	HAZARD	KY	41702	PERRY	UNITED STATES	Not Required	Not Required	COMMUNITY TRUST BANK, INC.	509811
OK	Full Service	3358739	HAZARD BLACK GOLD BRANCH	100 CITIZENS LANE	HAZARD	KY	41702	PERRY	UNITED STATES	Not Required	Not Required	COMMUNITY TRUST BANK, INC.	509811
OK	Full Service	2420983	HAZARD VILLAGE BRANCH	101 VILLAGE LANE	HAZARD	KY	41701	PERRY	UNITED STATES	Not Required	Not Required	COMMUNITY TRUST BANK, INC.	509811
OK	Full Service	3358702	KNOTT COUNTY BRANCH	107 MAIN STREET EAST	HINDMAN	KY	41822	KNOTT	UNITED STATES	Not Required	Not Required	COMMUNITY TRUST BANK, INC.	509811
OK	Full Service	3062036	CAMPBELLSVILLE JAMESTOWN BRANCH	752 NORTH MAIN STREET	JAMESTOWN	KY	42629	RUSSELL	UNITED STATES	Not Required	Not Required	COMMUNITY TRUST BANK, INC.	509811
OK	Full Service	3062308	WHITESBURG JENKINS BRANCH	9505 HIGHWAY 805	JENKINS	KY	41537	LETCHER	UNITED STATES	Not Required	Not Required	COMMUNITY TRUST BANK, INC.	509811
OK	Full Service	3062018	WHITESBURG ISOM BRANCH	56 ISOM PLAZA	JEREMIAH	KY	41826	LETCHER	UNITED STATES	Not Required	Not Required	COMMUNITY TRUST BANK, INC.	509811
OK	Full Service	3062027	CAMPBELLSVILLE LEBANON BRANCH	521 WEST MAIN STREET	LEBANON	KY	40033	MARION	UNITED STATES	Not Required	Not Required	COMMUNITY TRUST BANK, INC.	509811
OK	Full Service	2289724	BEAUMONT CENTRE	901 BEAUMONT CENTRE PARKWAY	LEXINGTON	KY	40513	FAYETTE	UNITED STATES	Not Required	Not Required	COMMUNITY TRUST BANK, INC.	509811
OK	Full Service	3358681	DOWNTOWN LEXINGTON	100 EAST VINE STREET	LEXINGTON	KY	40507	FAYETTE	UNITED STATES	Not Required	Not Required	COMMUNITY TRUST BANK, INC.	509811
OK	Full Service	3062205	HAMBURG BRANCH	2417 SIR BARTON WAY	LEXINGTON	KY	40509	FAYETTE	UNITED STATES	Not Required	Not Required	COMMUNITY TRUST BANK, INC.	509811
OK	Full Service	3401765	LEESTOWN ROAD OFFICE	109 LOUIE PLACE	LEXINGTON	KY	40511	FAYETTE	UNITED STATES	Not Required	Not Required	COMMUNITY TRUST BANK, INC.	509811
ОК	Full Service		LEXINGTON PASADENA BRANCH	185 PASADENA DRIVE	LEXINGTON	KY	40503	FAYETTE	UNITED STATES		Not Required	COMMUNITY TRUST BANK, INC.	509811
OK	Full Service	4437903	RICHMOND ROAD BRANCH	3090 RICHMOND ROAD	LEXINGTON	KY	40509	FAYETTE	UNITED STATES	Not Required	Not Required	COMMUNITY TRUST BANK, INC.	509811
OK	Full Service		LONDON NORTH OFFICE	38 SHILOH DRIVE	LONDON	KY	40741	LAUREL	UNITED STATES		Not Required	COMMUNITY TRUST BANK, INC.	509811
OK	Full Service		WILLIAMSBURG LONDON BRANCH	1706 WEST HIGHWAY 192	LONDON	KY	40741	LAUREL	UNITED STATES		Not Required	COMMUNITY TRUST BANK, INC.	509811
OK	Full Service		MIDDLESBORO EAST BRANCH	1206 EAST CUMBERLAND AVENUE	MIDDLESBORO	KY	40965	BELL	UNITED STATES		Not Required	COMMUNITY TRUST BANK, INC.	509811
OK	Full Service		MIDDLESBORO MAIN BRANCH	1918 CUMBERLAND AVENUE	MIDDLESBORO	KY	40965	BELL	UNITED STATES		Not Required	COMMUNITY TRUST BANK, INC.	509811
OK	Full Service		MT STERLING BRANCH	110 NORTH MAYSVILLE STREET	MOUNT STERLING	KY	40353	MONTGOMERY			Not Required	COMMUNITY TRUST BANK, INC.	509811
OK	Full Service		MT STERLING BRANCH	196 EVANS DRIVE	MOUNT STERLING	KY	40353	MONTGOMERY	UNITED STATES		Not Required	COMMUNITY TRUST BANK, INC.	509811
OK	Full Service		EAST MAIN STREET BRANCH	120 EAST MAIN STREET	MOUNT VERNON	KY	40456	ROCKCASTLE	UNITED STATES		Not Required	COMMUNITY TRUST BANK, INC.	509811
OK	Full Service		MT. VERNON BRANCH	2134 LAKE CUMBERLAND ROAD	MOUNT VERNON	KY	40456	ROCKCASTLE	UNITED STATES		Not Required	COMMUNITY TRUST BANK, INC.	509811
OK	Full Service		MOUTHCARD BRANCH	32 NORTH LEVISA ROAD	MOUTHCARD	KY	41548	PIKE	UNITED STATES		Not Required	COMMUNITY TRUST BANK, INC.	509811
OK	Full Service		NEON BRANCH	1001 HIGHWAY 317	NEON	KY	41840	LETCHER	UNITED STATES		Not Required	COMMUNITY TRUST BANK, INC.	509811
OK			PAINTSVILLE WAL-MART BRANCH	470 NORTH MAYO TRAIL	PAINTSVILLE	KY	41840	JOHNSON	UNITED STATES				509811
OK	Full Service									Not Required	Not Required	COMMUNITY TRUST BANK, INC.	
OK	Full Service		PHELPS BRANCH	38720 STATE HIGHWAY 194 EAST	PHELPS	KY	41553	PIKE	UNITED STATES		Not Required	COMMUNITY TRUST BANK, INC.	509811
OK	Full Service		PIKEVILLE MAIN STREET BRANCH	137 MAIN STREET SUITE 4	PIKEVILLE	KY	41501	PIKE	UNITED STATES		Not Required	COMMUNITY TRUST BANK, INC.	509811
OK	Full Service		PIKEVILLE WAL-MART BRANCH	254 CASSIDY BOULEVARD	PIKEVILLE	KY	41501	PIKE	UNITED STATES		Not Required	COMMUNITY TRUST BANK, INC.	509811
OK	Full Service		TOWN MOUNTAIN PIKEVILLE	105 NORTHGATE DRIVE	PIKEVILLE	KY	41501	PIKE	UNITED STATES		Not Required	COMMUNITY TRUST BANK, INC.	509811
OK	Full Service		WEDDINGTON PLAZA BRANCH	4205 NORTH MAYO TRAIL	PIKEVILLE	KY	41501	PIKE	UNITED STATES		Not Required	COMMUNITY TRUST BANK, INC.	509811
OK	Full Service		PINEVILLE BRANCH	11792 US HIGHWAY 25 EAST	PINEVILLE	KY	40977	BELL	UNITED STATES		Not Required	COMMUNITY TRUST BANK, INC.	509811
OK	Full Service	1906538	FLOYD COUNTY BRANCH	161 SOUTH LAKE DRIVE	PRESTONSBURG	KY	41653	FLOYD	UNITED STATES	Not Required	Not Required	COMMUNITY TRUST BANK, INC.	509811

OK	Full Service	240114 EASTERN BYPASS BRANCH	860 EASTERN BYPASS	RICHMOND	KY	40475	MADISON	UNITED STATES	Not Required	Not Required	COMMUNITY TRUST BANK, INC.	509811	
OK	Full Service	239912 RICHMOND MAIN BRANCH	128 WEST MAIN STREET	RICHMOND	KY	40475	MADISON	UNITED STATES	Not Required	Not Required	COMMUNITY TRUST BANK, INC.	509811	
OK	Full Service	3358690 RUSSELL BRANCH	970 DIEDERICH BOULEVARD	RUSSELL	KY	41169	GREENUP	UNITED STATES	Not Required	Not Required	COMMUNITY TRUST BANK, INC.	509811	
OK	Full Service	3650518 SOMERSET NORTH BRANCH	239 NORTH HIGHWAY 27	SOMERSET	KY	42503	PULASKI	UNITED STATES	Not Required	Not Required	COMMUNITY TRUST BANK, INC.	509811	
OK	Full Service	3358823 SOMERSET SOUTH BRANCH	3809 SOUTH HIGHWAY 27	SOMERSET	KY	42501	PULASKI	UNITED STATES	Not Required	Not Required	COMMUNITY TRUST BANK, INC.	509811	
DK	Full Service	387514 SOUTH WILLIAMSON TUG VALLEY	28160 US HIGHWAY 119	SOUTH WILLIAMSON	KY	41503	PIKE	UNITED STATES	Not Required	Not Required	COMMUNITY TRUST BANK, INC.	509811	
OK .	Full Service	353416 VERSAILLES MAIN BRANCH	101 NORTH MAIN STREET	VERSAILLES	KY	40383	WOODFORD	UNITED STATES	Not Required	Not Required	COMMUNITY TRUST BANK, INC.	509811	
DK	Full Service	352914 WOODFORD PLAZA BRANCH	480 LEXINGTON ROAD	VERSAILLES	KY	40383	WOODFORD	UNITED STATES	Not Required	Not Required	COMMUNITY TRUST BANK, INC.	509811	
DK	Full Service	295815 PIKE VIRGIE BRANCH	1056 HIGHWAY 610 WEST	VIRGIE	KY	41572	PIKE	UNITED STATES	Not Required	Not Required	COMMUNITY TRUST BANK, INC.	509811	
DK	Full Service	424213 WEST WHITESBURG BRANCH	24 PARKWAY PLAZA LOOP	WHITESBURG	KY	41858	LETCHER	UNITED STATES	Not Required	Not Required	COMMUNITY TRUST BANK, INC.	509811	
DK	Full Service	3358720 WHITESBURG BRANCH	155 MAIN STREET	WHITESBURG	KY	41858	LETCHER	UNITED STATES	Not Required	Not Required	COMMUNITY TRUST BANK, INC.	509811	
DK	Full Service	363611 DOWNTOWN WILLIAMSBURG BRANCH	201 NORTH 3RD STREET	WILLIAMSBURG	KY	40769	WHITLEY	UNITED STATES	Not Required	Not Required	COMMUNITY TRUST BANK, INC.	509811	
DK	Full Service	3069389 WILLIAMSBURG CONVENIENCE CENTER BRANCH	895 SOUTH HIGHWAY 25W	WILLIAMSBURG	KY	40769	WHITLEY	UNITED STATES	Not Required	Not Required	COMMUNITY TRUST BANK, INC.	509811	
OK	Full Service	249715 WINCHESTER MAIN BRANCH	120 SOUTH MAIN STREET	WINCHESTER	KY	40391	CLARK	UNITED STATES	Not Required	Not Required	COMMUNITY TRUST BANK, INC.	509811	
OK .	Full Service	3358672 WINCHESTER PLAZA BRANCH	125 WINCHESTER PLAZA	WINCHESTER	KY	40391	CLARK	UNITED STATES	Not Required	Not Required	COMMUNITY TRUST BANK, INC.	509811	
DK	Full Service	2813576 CLINTON OFFICE	2106 CHARLES G. SEIVERS BOULEVARD	CLINTON	TN	37716	ANDERSON	UNITED STATES	Not Required	Not Required	COMMUNITY TRUST BANK, INC.	509811	
OK .	Full Service	187031 JACKSBORO BRANCH	2603 JACKSBORO PIKE	JACKSBORO	TN	37757	CAMPBELL	UNITED STATES	Not Required	Not Required	COMMUNITY TRUST BANK, INC.	509811	
OK .	Full Service	4138 LAFOLLETTE BRANCH	106 SOUTH TENNESSEE AVENUE	LA FOLLETTE	TN	37766	CAMPBELL	UNITED STATES	Not Required	Not Required	COMMUNITY TRUST BANK, INC.	509811	
OK .	Full Service	3062223 ALUM CREEK BRANCH	315 MIDWAY ROAD	ALUM CREEK	WV	25003	LINCOLN	UNITED STATES	Not Required	Not Required	COMMUNITY TRUST BANK, INC.	509811	
OK	Full Service	17231 FORT GAY BRANCH	735 COURT STREET	FORT GAY	wv	25514	WAYNE	UNITED STATES	Not Required	Not Required	COMMUNITY TRUST BANK, INC.	509811	
OK	Full Service	3062250 HAMLIN BRANCH	8049 LYNN AVENUE	HAMLIN	wv	25523	LINCOLN	UNITED STATES	Not Required	Not Required	COMMUNITY TRUST BANK, INC.	509811	
OK	Full Service	3749560 PULLMAN SQUARE BRANCH	952 THIRD AVENUE	HUNTINGTON	wv	25701	CABELL	UNITED STATES	Not Required	Not Required	COMMUNITY TRUST BANK, INC.	509811	
OK	Full Service	788830 SUMMERSVILLE MAIN	507 MAIN STREET	SUMMERSVILLE	wv	26651	NICHOLAS	UNITED STATES	Not Required	Not Required	COMMUNITY TRUST BANK, INC.	509811	
OK .	Full Service	2668664 WILLIAMSON BRANCH	101 EAST 2ND AVENUE	WILLIAMSON	WV	25661	MINGO	UNITED STATES	Not Required	Not Required	COMMUNITY TRUST BANK, INC.	509811	

Non-managing Member

NR - Not reportable on the FR Y-10. Percentage of ownership is less than 25%.

LEI is N/A for all companies except Community Trust Bank, Inc.

## **Item 3(1): Securities Holders**

The following table sets forth information as to each shareholder known by the Corporation to beneficially own more than five percent of the Common Stock as of December 31, 2020:

	Name and Address	Country of Incorporation	Number of Shares of Common Stock	Percentage
٠	Community Trust and Investment Company as Fiduciary	USA	1,840,365	10.3%
	Lexington, Kentucky			
	BlackRock Inc. New York, NY	USA	1,309,315	7.4%
	Dimensional Fund Advisors LP Malvern, PA	USA	1,117,808	6.3%

# **Item 3(2): Securities Holders**

N/A

**Item 4: Insiders** 

Name	Principal Occupation if other than Holding Company	Title & Position with Holding Company	Title & Position with Subsidiaries	Title & Position with Other Businesses	Percentage of Voting Shares in Holding Company	Percentage of Voting Shares in Subsidiaries	Names of Other Companies 25% of More Held (Percent of Ownership)
Charles J. Baird Pikeville, KY, USA	President - Baird and Baird, PSC	Director	Director, Community Trust and Investment Company	President – Baird & Baird, PSC	1.1%	N/A	Baird & Baird, PSC (40%)
				President – Eastwood, LLC President – Cigar Bush, LLC President – TWG, Inc. President – True Believer, LLC			Eastwood, LLC (100%) Cigar Bush, LLC (100%) TWG, Inc. (50%) True Believer, LLC (50%)
Nick Carter Ashland, KY, USA	Retired	Director	Director, Community Trust and Investment Company	Retired	(1)	N/A	N/A

Name Jean R. Hale	Principal Occupation if other than Holding Company N/A	Title & Position with Holding Company	Title & Position with Subsidiaries Chairman,	Title & Position with Other Businesses None	Percentage of Voting Shares in Holding Company	Percentage of Voting Shares in Subsidiaries N/A	Names of Other Companies 25% of More Held (Percent of Ownership)
Pikeville, KY, USA		President and CEO	President and CEO - Community Trust Bancorp, Inc. and Chairman of the Board - Community Trust Bank, Inc. and Community Trust and Investment Company				
Eugenia "Crit" Luallen Frankfort, KY, USA	Former Lt. Governor - Commonwealth of Kentucky	Director	Director, Community Trust and Investment Company	Former Lt. Governor - Commonwealth of Kentucky	(1)	N/A	N/A
James E. McGhee II Pikeville, KY, USA	President - Three JC Investments	Director	N/A	President – Three JC Investments LLC	(1)	N/A	Three JC Investments LLC (70%)
				Member – Ryan's Morristown, LLC			Ryan's Morristown, LLC (50%)
Franky Minnifield Lexington, KY, USA	Owner – Minnifield Enterprize	Director	N/A	Owner – Minnifield Enterprize	(1)	N/A	Minnifield Enterprize (100%)

Name	Principal Occupation if other than Holding Company	Title & Position with Holding Company	Title & Position with Subsidiaries	Title & Position with Other Businesses	Percentage of Voting Shares in Holding Company	Percentage of Voting Shares in Subsidiaries	Names of Other Companies 25% of More Held (Percent of Ownership)
M. Lynn Parrish	President -	Director	N/A	Director/President	1.0%	N/A	Marwood Land
Pikeville, KY,	Marwood Land			<ul> <li>Marwood Land</li> </ul>			Co., Inc.
USA	Company, Inc.			Co., Inc.			(100%)
				Director/President			Wayland Land
				<ul> <li>Wayland Land</li> </ul>			Co., Inc.
				Co., Inc.			(100%)
				Director/President			M.L. Parrish
				– M.L. Parrish			Family
				Family Foundation,			Foundation, Inc.
				Inc.			(100%)
				Director – Eastern			Eastern
				Telephone &			Telephone &
				Technologies, Inc.			Technologies,
							Inc.
				M 1 C 1			(93.16%)
				Member – Creed			Creed Land, LLC (90%)
				Land, LLC Member –			Mountain Top
				Member – Mountain Top			Media, LLC
				Media, LLC			(51%)
				Member – Jigsaw			Jigsaw
				Enterprises, LLC			Enterprises, LLC
				Enterprises, EEC			(50%)
				Member –			Boulevard, LLC
				Boulevard, LLC			(50%)
				Member – Bit			Bit Source, LLC
				Source, LLC			(50%)
				Member – B and P			B and P
				Ventures, LLC			Ventures, LLC
				, , , , , , , , , , , , , , , , , , , ,			(50%)
				Member –			Dudley's
				Dudley's Buildings			Buildings
				Partners, LLC			Partners, LLC
				ĺ			(42.86%)

Name	Principal Occupation if other than Holding Company	Title & Position with Holding Company	Title & Position with Subsidiaries	Title & Position with Other Businesses	Percentage of Voting Shares in Holding Company	Percentage of Voting Shares in Subsidiaries	Names of Other Companies 25% of More Held (Percent of Ownership)
Franklin H. Farris, Jr. Louisville, KY, USA	Manager – Farris Advisory Services, LLC	Director	N/A	Manager – Farris Advisory Services, LLC	(1)	N/A	Farris Advisory Services, LLC (100%)
Anthony W. St. Charles Cincinnati, OH, USA	President and Chief Executive Officer – The St. Charles Group, LLC	Director	N/A	President – The St. Charles Group, LLC			The St. Charles Group, LLC (100%)
				Principal – Corbitt Graphics, LLC	(1)	N/A	Corbitt Graphics, LLC (50%)
James B. Draughn Pikeville, KY, USA	N/A	Executive Vice President	Executive Vice President/ Operations - Community Trust Bank, Inc.	None	(1)	N/A	N/A
James J. Gartner Pikeville, KY, USA	N/A	Executive Vice President	Executive Vice President/ Chief Credit Officer - Community Trust Bank, Inc.	None	(1)	N/A	N/A

Principal Occupation if other than Holding Company	Title & Position with Holding Company	Title & Position with Subsidiaries	Title & Position with Other Businesses	Percentage of Voting Shares in Holding Company	Percentage of Voting Shares in Subsidiaries	Names of Other Companies 25% of More Held (Percent of Ownership)
N/A	Executive Vice President and Secretary	Director, President and CEO - Community Trust Bank, Inc. Vice President and Director Community Trust and Investment Company	None	(1)	N/A	N/A
N/A	Executive Vice President	Executive Vice President/ Senior Staff Attorney - Community Trust Bank, Inc.	Partner – Hancock Properties	(1)	N/A	Hancock Properties (100%)
N/A	Executive Vice President	Executive Vice President/ Northeastern Region President - Community Trust Bank, Inc.	President/Owner – Diedrich Properties	(1)	N/A	Diedrich Properties (100%)
			President/Owner – Big Sandy Development President/Owner – Leon Coal			Big Sandy Development (33.3%) Leon Coal Company (33.3%)
	Occupation if other than Holding Company N/A	Occupation if other than Holding Company  N/A  N/A  Executive Vice President and Secretary  N/A  Executive Vice President and Secretary	Occupation if other than Holding Company  N/A  Executive Vice President and Director Community Trust Bank, Inc.  N/A  Executive Vice President and Director Community Trust and Investment Company  N/A  Executive Vice President/Senior Staff Attorney - Community Trust Bank, Inc.  N/A  Executive Vice President/Senior Staff Attorney - Community Trust Bank, Inc.  N/A  Executive Vice President/Senior Staff Attorney - Community Trust Bank, Inc.  N/A  Executive Vice President/Northeastern Region President Region President - Community	Occupation if other than Holding Company  N/A  Executive Vice President and Director Community Trust and Investment Company  N/A  Executive Vice President and Director Community Trust and Investment Company  N/A  Executive Vice President/ Senior Staff Attorney - Community Trust Bank, Inc.  N/A  Executive Vice President/ Senior Staff Attorney - Community Trust Bank, Inc.  N/A  Executive Vice President/ Senior Staff Attorney - Community Trust Bank, Inc.  N/A  Executive Vice President/ Senior Staff Attorney - Community Trust Bank, Inc.  N/A  Executive Vice President/ President/ Senior Staff Attorney - Community Trust Bank, Inc.  President/ Northeastern Region President - Community Trust Bank, Inc.  President/Owner - Diedrich Properties  President/Owner - Big Sandy Development President/Owner - President/Owner - President/Owner - Big Sandy Development President/Owner - President/Owner - President/Owner - Big Sandy Development	Occupation if other than Holding Company Company Company Subsidiaries Businesses Director, President and President and Director Community Trust and Investment Company Secretary President Secretary Senior Staff Attorney - Community Trust Bank, Inc.  N/A Executive Vice President Senior Staff Attorney - Community Trust Bank, Inc.  N/A Executive Vice President Secretary Secreta	N/A   Executive Vice President Antonney - Company   N/A     N/A   Executive Vice President And Investment Company   President Company

Name Larry W. Jones	Principal Occupation if other than Holding Company N/A	Title & Position with Holding Company Executive	Title & Position with Subsidiaries Executive Vice	Title & Position with Other Businesses None	Percentage of Voting Shares in Holding Company	Percentage of Voting Shares in Subsidiaries	Names of Other Companies 25% of More Held (Percent of Ownership)
Lexington, KY, USA		Vice President	President/Central Region President - Community Trust Bank, Inc.				
Richard W. Newsom Pikeville, KY, USA	N/A	Executive Vice President	Executive Vice President/Eastern Region President - Community Trust Bank, Inc.	None	(1)	N/A	N/A
Ricky D. Sparkman Campbellsville, KY, USA	N/A	Executive Vice President	Executive Vice President/South Central Region President - Community Trust Bank, Inc.	Vice President – Shanrick Properties, Inc.	(1)	N/A	Shanrick Properties, Inc. (50%)
				Member – BSM Enterprises, LLC			BSM Enterprises (33.33%)
Kevin J. Stumbo Pikeville, KY, USA	N/A	Executive Vice President, CFO and Treasurer	Executive Vice President/CFO - Community Trust Bank, Inc. Vice President - Community Trust and Investment Company	None	(1)	N/A	N/A

Name	Principal Occupation if other than Holding Company	Title & Position with Holding Company	Title & Position with Subsidiaries	Title & Position with Other Businesses	Percentage of Voting Shares in Holding Company	Percentage of Voting Shares in Subsidiaries	Names of Other Companies 25% of More Held (Percent of Ownership)
Andy D. Waters Lexington, KY, USA	N/A	Executive Vice President	President and CEO - Community Trust and Investment Company	None	(1)	N/A	N/A

<sup>(1)</sup> Owns less than 1 percent of outstanding shares.