## Board of Governors of the Federal Reserve System



# Annual Report of Holding Companies—FR Y-6

## Report at the close of business as of the end of fiscal year

This Report is required by law: Section 5(c)(1)(A) of the Bank Holding Company Act (12 U.S.C. § 1844(c)(1)(A)); sections 8(a) and 13(a) of the International Banking Act (12 U.S.C. §§ 3106(a) and 3108(a)); sections 11(a)(1), 25, and 25A of the Federal Reserve Act (12 U.S.C. §§ 248(a)(1), 602, and 611a); and sections 113, 165, 312, 618, and 809 of the Dodd-Frank Act (12 U.S.C. §§ 5361, 5365, 5412, 1850a(c)(1), and 5468(b)(1)). Return to the appropriate Federal Reserve Bank the original and the number of copies specified.

This report form is to be filed by all top-tier bank holding companies, top-tier savings and loan holding companies, and U.S. intermediate holding companies organized under U.S. law, and by any foreign banking organization that does not meet the requirements of and is not treated as a qualifying foreign banking organization under Section 211.23 of Regulation K (12 C.F.R. § 211.23). (See page one of the general instructions for more detail of who must file.) The Federal Reserve may not conduct or sponsor, and an organization (or a person) is not required to respond to, an information collection unless it displays a currently valid OMB control number.

Date of Report (top-tier holding company's fiscal year-end):

**December 31, 2016** 

Month / Day / Year

www.ctbi.com

(check only one),

as "confidential."

Address (URL) for the Holding Company's web page

Is confidential treatment requested for any portion of this report submission?

in accordance with the General Instructions for this report

2. a letter justifying this request has been provided

NOTE: Information for which confidential treatment is being

1. a letter justifying this request is being provided along

with the report .....

requested must be provided separately and labeled

separately.....

NOTE: The Annual Report of Holding Companies must be signed by one director of the top-tier holding company. This individual should also be a senior official of the top-tier holding company. In the event that the top-tier holding company does not have an individual who is a senior official and is also a director, the chairman of the board must sign the report. If the holding company is an ESOP/ESOT formed as a corporation or is an LLC, see the General Instructions for the authorized individual who must sign the report. I, Jean R. Hale Name of the Holding Company Director and Official Chairman, President and CEO Title of the Holding Company Director and Official attest that the Annual Report of Holding Companies (including the supporting attachments) for this report date has been prepared in conformance with the instructions issued by the Federal Reserve System and are true and correct to the best of my knowledge and belief. With respect to information regarding individuals contained in this report, the Reporter certifies that it has the authority to provide this information to the Federal Reserve. The Reporter also certifies that it has the authority, on behalf of each individual, to consent or object to public release of information regarding that individual. The Federal Reserve may assume, in the absence of a request for confidential treatment submitted in accordance with the Board's "Rules Regarding Availability of Information," 12 C.F.R. Part 261, that the Reporter and individual consent to public release of all details-in the report concerning that individual. Dan 1 Signature of Holding Company Director and Official 03/24/2017 Date of Signature For holding companies not registered with the SEC-Indicate status of Annual Report to Shareholders:

is included with the FR Y-6 report

will be sent under separate cover

For Federal Reserve Bank Use Only

is not prepared

RSSD ID

N/A			
Reporter's Legal Entity Identifier (I	LEI) (20-0	Character LEI Co	de)
Reporter's Name, Street, a	and Mai	ling Address	
Community Trust Banco	orp, Inc	<u> </u>	
Legal Title of Holding Company			
P.O. Box 2947			
Mailing Address of the Holding C	ompany)	Street / P.O. Box	
Pikeville	KY		41502-2947
City	State		Zip Code
346 North Mayo Trail			
Physical Location (if different from	mailing a	address)	
Person to whom questions	about	this report sh	ould be directed:
Taylora G. Newsome			al Analyst III
Name		Title	
606-433-4643			
Area Code / Phone Number / Exte	ension		
606-218-8300			
Area Code / FAX Number			
newsomta@ctbi.com			
E-mail Address			

Public reporting burden for this information collection is estimated to vary from 1.3 to 101 hours per response, with an average of 5.50 hours per response, including time to gather and maintain data in the required form and to review instructions and complete the information collection. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to: Secretary, Board of Governors of the Federal Reserve System, 20th and C Streets, NW, Washington, DC 20551, and to the Office of Management and Budget, Paperwork Reduction Project (7100-0297), Washington, DC 20503.

## FR Y-6 REPORT ITEMS

## 1: ANNUAL REPORT TO SHAREHOLDERS

Community Trust Bancorp, Inc. is registered with the Securities and Exchange Commission; therefore, an annual report is not being submitted.

## 2a: ORGANIZATION CHART

The Corporation is submitting a copy of its organization chart.

Community Trust Bancorp, Inc. has no companies reportable on the FR Y-10 that are not reportable on the FR Y-6 organization chart.

## 2b: DOMESTIC BRANCH LISTING

The Corporation is submitting a verified list of all domestic branches of Community Trust Bank, Inc.

## **3: SECURITIES HOLDERS**

(1) The Corporation is submitting a list of all shareholders of record that directly or indirectly own, control, or hold with power to vote 5% or more of the Corporation's common stock.

(2) N/A

## 4: INSIDERS

The Corporation is including a list of each principal securities holder, director, trustee, partner, executive officer, or person exercising similar functions, regardless of title or compensation, as of the fiscal year end of the bank holding company, showing (1) name and address, (2) principal occupation, (3) title with holding company and subsidiaries, and (4) number of shares and percentage of securities of the bank holding company owned and ownership in other companies.

# Community Trust Bancorp, Inc.

Pikeville, KY Incorporated in Kentucky

100%

56%

NR

# **Community Trust** Bank, Inc. Pikeville, KY Incorporated in

Kentucky

100%

**Community Trust** Insurance Services, Inc.

Pikeville, KY Incorporated in Kentucky **Community Trust and Investment Company** 

Lexington, KY Incorporated in Kentucky

**CTBI Preferred Capital Trust III** 

Pikeville, KY Incorporated in Delaware

Bankers Title of Central Kentucky, LLC

Lexington, KY Incorporated in Kentucky Non-managing Member

Bankers Title of East Tennessee, LLC

Knoxville, TN Incorporated in Tennessee Non-managing Member

Pikeville, KY Incorporated in Kentucky

**Community Trust** 

**Community Development** 

**Corporation** 

100%

NR - Not reportable on the FR Y-10. Percentage of ownership is less than 25%. LEI is N/A for all companies.

### Results: A list of branches for your holding company: COMMUNITY TRUST BANCORP, INC. (1070644) of PIKEVILLE, KY.

The data are as of 12/31/2016. Data reflects information that was received and processed through 01/10/2017.

### Reconciliation and Verification Steps

In the Data Action column of each branch row, enter one or more of the actions specified below

2. If required, enter the date in the Effective Date column

Actions

OK: If the branch information is correct, enter 'OK' in the Data Action column.

Change: If the branch information is incorrect or incomplete, revise the data, enter 'Change' in the Data Action column and the date when this information first became valid in the Effective Date column.

Close: If a branch listed was sold or closed, enter 'Close' in the Data Action column and the sale or closure date in the Effective Date column.

Delete: If a branch listed was never owned by this depository institution, enter 'Delete' in the Data Action column.

Add: If a reportable branch is missing, insert a row, add the branch data, and enter 'Add' in the Data Action column and the opening or acquisition date in the Effective Date column.

If printing this list, you may need to adjust your page setup in MS Excel. Try using landscape orientation, page scaling, and/or legal sized paper.

### Submission Procedure

When you are finished, send a saved copy to your FRB contact. See the detailed instructions on this site for more information.

If you are e-mailing this to your FRB contact, put your institution name, city and state in the subject line of the e-mail.

### Note

To satisfy the FR Y-10 reporting requirements, you must also submit FR Y-10 Domestic Branch Schedules for each branch with a Data Action of Change, Close, Delete, or Add.

The FR Y-10 report may be submitted in a hardcopy format or via the FR Y-10 Online application - https://y10online.federalreserve.gov.

\* FDIC UNINUM, Office Number, and ID\_RSSD columns are for reference only. Verification of these values is not required.

<b>Data Action</b>	Effective Date	Branch Service Type Br	anch ID RSSD* Popular Name	Street Address	City	State	Zip Code	County	Country	FDIC UNINUM*	Office Number*	Head Office	Head Office ID RSSD* Comments
		Full Service (Head Office)	509811 COMMUNITY TRUST BANK, INC.	346 NORTH MAYO TRAIL	PIKEVILLE	KY	41501	PIKE	UNITED STATES	1762	0	COMMUNITY TRUST BANK, INC.	509811
		Full Service	3402119 ALLEN BRANCH	6424 KY ROUTE 1428	ALLEN	KY	41601	FLOYD	UNITED STATES	453403	95	COMMUNITY TRUST BANK, INC.	509811
		Full Service	188412 ASHLAND MAIN BRANCH	1544 WINCHESTER AVENUE	ASHLAND	KY	41101	BOYD	UNITED STATES	1727	28	COMMUNITY TRUST BANK, INC.	509811
		Full Service	127813 ASHLAND SUMMIT BRANCH	7100 US ROUTE 60	ASHLAND	KY	41102	BOYD	UNITED STATES	193814	32	COMMUNITY TRUST BANK, INC.	509811
		Full Service	979311 ASHLAND WESTWOOD BRANCH	721 WHEATLEY ROAD	ASHLAND	KY	41101	BOYD	UNITED STATES	193812	31	COMMUNITY TRUST BANK, INC.	509811
		Full Service	128315 SOUTH ASHLAND BRANCH	2101 29TH STREET	ASHLAND	KY	41101	BOYD	UNITED STATES	193811	30	COMMUNITY TRUST BANK, INC.	509811
		Full Service 3402128 BEREA NORTH OFFICE 5		525 WALNUT MEADOW ROAD	BEREA	KY	40403	MADISON	UNITED STATES	360541	77	COMMUNITY TRUST BANK, INC.	509811
		Full Service	741572 CAMPBELLSVILLE BRANCH	1218 EAST BROADWAY	CAMPBELLSVILLE	KY	42718	TAYLOR	UNITED STATES	42921	65	COMMUNITY TRUST BANK, INC.	509811
Delete	1/17/2017	Full Service	3062045 CAMPBELLSVILLE FIRST STREET BRANCH	315 EAST FIRST STREET	CAMPBELLSVILLE	KY	42718	TAYLOR	UNITED STATES	278635	70	COMMUNITY TRUST BANK, INC.	509811
Add	1/17/2017	Full Service	CAMPBELLSVILLE BYPASS	402 CAMPBELLSVILLE BYPASS	CAMPBELLSVILLE	KY	42718	TAYLOR	UNITED STATES			COMMUNITY TRUST BANK, INC.	509811
		Full Service	3062157 CAMPBELLSVILLE COLUMBIA BRANCH	1005 JAMESTOWN STREET	COLUMBIA	KY	42728	ADAIR	UNITED STATES	278631	66	COMMUNITY TRUST BANK, INC.	509811
		Full Service	3051441 WILLIAMSBURG CORBIN BRANCH	678 US 25 WEST	CORBIN	KY	40701	LAUREL	UNITED STATES	361059	78	COMMUNITY TRUST BANK, INC.	509811
		Full Service	2586302 DANVILLE MAIN STREET	462 WEST MAIN STREET	DANVILLE	KY	40423	BOYLE	UNITED STATES	57161	89	COMMUNITY TRUST BANK, INC.	509811
		Full Service	2801500 DANVILLE MANOR BRANCH	1560 HUSTONVILLE ROAD	DANVILLE	KY	40422	BOYLE	UNITED STATES :	290610	90	COMMUNITY TRUST BANK, INC.	509811
		Full Service	510013 ELKHORN CITY BRANCH	211 RUSSELL STREET	ELKHORN CITY	KY	41522	PIKE	UNITED STATES	193979	8	COMMUNITY TRUST BANK, INC.	509811
		Full Service	297912 MARROWBONE BRANCH	10579 REGINA BELCHER HIGHWAY	ELKHORN CITY	KY	41522	PIKE	UNITED STATES	193977	6	COMMUNITY TRUST BANK, INC.	509811
		Full Service	757210 EWING BRANCH	1527 EWING ROAD	EWING	KY	41039	FLEMING	UNITED STATES	234797	37	COMMUNITY TRUST BANK, INC.	509811
		Full Service	602815 FLEMINGSBURG BRANCH	36 BROOKHAVEN DRIVE	FLEMINGSBURG	KY	41041	FLEMING	UNITED STATES	9931	36	COMMUNITY TRUST BANK, INC.	509811
		Full Service	142414 SOUTH RIDGE BRANCH	108 CLARK STREET	FLEMINGSBURG	KY	41041	FLEMING	UNITED STATES		38	COMMUNITY TRUST BANK, INC.	509811
		Full Service	3062166 FRANKFORT WEST BRANCH	1205 SOUTH HIGHWAY 127	FRANKFORT	KY	40601	FRANKLIN	UNITED STATES	278638	60	COMMUNITY TRUST BANK, INC.	509811
		Full Service	3289299 VERSAILLES FRANKFORT EAST BRANCH	427 VERSAILLES ROAD	FRANKFORT	KY	40601	FRANKLIN	UNITED STATES	430563	87	COMMUNITY TRUST BANK, INC.	509811
		Full Service	3128558 VERSAILLES GEORGETOWN WALMART BRANCH	112 OSBORNE WAY	GEORGETOWN	KY	40324	SCOTT	UNITED STATES	364651	86	COMMUNITY TRUST BANK, INC.	509811
		Full Service	3062184 CAMPBELLSVILLE GREENSBURG BRANCH	205 SOUTH MAIN STREET	GREENSBURG	KY	42743	GREEN		278632	67	COMMUNITY TRUST BANK, INC.	509811
		Full Service	530945 HARRODSBURG BRANCH	570 CHESTNUT STREET	HARRODSBURG	KY	40330	MERCER	UNITED STATES		63	COMMUNITY TRUST BANK, INC.	509811
		Full Service	3150803 HAZARD AIRPORT GARDENS BRANCH	1665 COMBS ROAD	HAZARD	KY	41702	PERRY	UNITED STATES		81	COMMUNITY TRUST BANK, INC.	509811
		Full Service	3358739 HAZARD BLACK GOLD BRANCH	100 CITIZENS LANE	HAZARD	KY	41702	PERRY		231926	84	COMMUNITY TRUST BANK, INC.	509811
		Full Service	2420983 HAZARD VILLAGE BRANCH	101 VILLAGE LANE	HAZARD	KY	41701	PERRY	UNITED STATES		83	COMMUNITY TRUST BANK, INC.	509811
		Full Service	3358702 KNOTT COUNTY BRANCH	107 MAIN STREET EAST	HINDMAN	KY	41822	KNOTT	UNITED STATES		11	COMMUNITY TRUST BANK, INC.	509811
		Full Service	3062036 CAMPBELLSVILLE JAMESTOWN BRANCH	752 NORTH MAIN STREET	JAMESTOWN	KY	42629	RUSSELL	UNITED STATES		69	COMMUNITY TRUST BANK, INC.	509811
		Full Service	3062308 WHITESBURG JENKINS BRANCH	9505 HIGHWAY 805	JENKINS	KY	41537	LETCHER	UNITED STATES		45	COMMUNITY TRUST BANK, INC.	509811
		Full Service	3062018 WHITESBURG ISOM BRANCH	56 ISOM PLAZA	JEREMIAH	KY	41826	LETCHER		238427	43	COMMUNITY TRUST BANK, INC.	509811
		Full Service	3062027 CAMPBELLSVILLE LEBANON BRANCH	521 WEST MAIN STREET	LEBANON	KY	40033	MARION	UNITED STATES	278637	72	COMMUNITY TRUST BANK, INC.	509811
		Full Service	2289724 BEAUMONT CENTRE	901 BEAUMONT CENTRE PARKWAY	LEXINGTON	KY	40513	FAYETTE	UNITED STATES		13	COMMUNITY TRUST BANK, INC.	509811
		Full Service	3358681 DOWNTOWN LEXINGTON	100 EAST VINE STREET	LEXINGTON	KY	40507	FAYETTE	UNITED STATES		12	COMMUNITY TRUST BANK, INC.	509811
		Full Service	3062205 HAMBURG BRANCH	2417 SIR BARTON WAY	LEXINGTON	KY	40509	FAYETTE	UNITED STATES	453401	93	COMMUNITY TRUST BANK, INC.	509811
		Full Service	3401765 LEESTOWN ROAD OFFICE	109 LOUIE PLACE	LEXINGTON	KY	40511	FAYETTE	UNITED STATES		94	COMMUNITY TRUST BANK, INC.	509811
		Full Service	3289301 LEXINGTON PASADENA BRANCH	185 PASADENA DRIVE	LEXINGTON	KY	40503	FAYETTE	UNITED STATES	430564	88	COMMUNITY TRUST BANK, INC.	509811
		Full Service	4437903 RICHMOND ROAD BRANCH	3090 RICHMOND ROAD	LEXINGTON	KY	40509	FAYETTE	UNITED STATES	540774	101	COMMUNITY TRUST BANK, INC.	509811
		Full Service	3402100 LONDON NORTH OFFICE	38 SHILOH DRIVE	LONDON	KY	40741	LAUREL	UNITED STATES		91	COMMUNITY TRUST BANK, INC.	509811
		Full Service	3069398 WILLIAMSBURG LONDON BRANCH	1706 WEST HIGHWAY 192	LONDON	KY	40741	LAUREL	UNITED STATES	237	27	COMMUNITY TRUST BANK, INC.	509811
		Full Service	783611 MIDDLESBORO EAST BRANCH	1206 EAST CUMBERLAND AVENUE	MIDDLESBORO	KY	40965	BELL	UNITED STATES	181438	18	COMMUNITY TRUST BANK, INC.	509811
		Full Service	656911 MIDDLESBORO MAIN BRANCH	1918 CUMBERLAND AVENUE	MIDDLESBORO	KY	40965	BELL	UNITED STATES		17	COMMUNITY TRUST BANK, INC.	509811
		Full Service	171414 MT STERLING BRANCH	110 NORTH MAYSVILLE STREET	MOUNT STERLING	KY	40353	MONTGOMERY			22	COMMUNITY TRUST BANK, INC.	509811
		Full Service	963918 MT STERLING NORTH BRANCH	196 EVANS DRIVE	MOUNT STERLING	KY	40353	MONTGOMERY	UNITED STATES		23	COMMUNITY TRUST BANK, INC.	509811
		Full Service	3358832 EAST MAIN STREET BRANCH	120 EAST MAIN STREET	MOUNT VERNON	KY	40456	ROCKCASTLE	UNITED STATES		74	COMMUNITY TRUST BANK, INC.	509811
		Full Service	2851680 MT. VERNON BRANCH	2134 LAKE CUMBERLAND ROAD	MOUNT VERNON	KY	40456	ROCKCASTLE	UNITED STATES		76	COMMUNITY TRUST BANK, INC.	509811
		Full Service	3069419 MOUTHCARD BRANCH	32 NORTH LEVISA ROAD	MOUTHCARD	KY	41548	PIKE	UNITED STATES		9	COMMUNITY TRUST BANK, INC.	509811
		Full Service	3358711 NEON BRANCH	1001 HIGHWAY 317	NEON	KY	41840	LETCHER	UNITED STATES		41	COMMUNITY TRUST BANK, INC.	509811
		Full Service	3401840 PAINTSVILLE WAL-MART BRANCH	470 NORTH MAYO TRAIL	PAINTSVILLE	KY	41240	JOHNSON	UNITED STATES		92	COMMUNITY TRUST BANK, INC.	509811
		Full Service	3069437 PHELPS BRANCH	38720 STATE HIGHWAY 194 EAST	PHELPS	ку	41553	PIKE		193972	1	COMMUNITY TRUST BANK, INC.	509811
		Full Service	235718 PIKEVILLE MAIN STREET BRANCH	137 MAIN STREET SUITE 4	PIKEVILLE	KY	41501	PIKE		193978	7	COMMUNITY TRUST BANK, INC.	509811
		Full Service	3062317 PIKEVILLE WAL-MART BRANCH	254 CASSIDY BOULEVARD	PIKEVILLE	KY	41501	PIKE		193985	46	COMMUNITY TRUST BANK, INC.	509811
		Full Service	298414 TOWN MOUNTAIN PIKEVILLE	105 NORTHGATE DRIVE	PIKEVILLE	ку	41501	PIKE		193974	3	COMMUNITY TRUST BANK, INC.	509811
	1	Full Service	3358793 WEDDINGTON PLAZA BRANCH	4205 NORTH MAYO TRAIL	PIKEVILLE	KY	41501	PIKE	UNITED STATES		5	COMMUNITY TRUST BANK, INC.	509811

Full Service	723514 PINEVILLE BRANCH	11792 US HIGHWAY 25 EAST	PINEVILLE	KY	40977	BELL	UNITED STATES 181441	21	COMMUNITY TRUST BANK, INC.	509811
Full Service	1906538 FLOYD COUNTY BRANCH	161 SOUTH LAKE DRIVE	PRESTONSBURG	KY	41653	FLOYD	UNITED STATES 47213	10	COMMUNITY TRUST BANK, INC.	509811
Full Service	240114 EASTERN BYPASS BRANCH	860 EASTERN BYPASS	RICHMOND	KY	40475	MADISON	UNITED STATES 193994	51	COMMUNITY TRUST BANK, INC.	509811
Full Service	239912 RICHMOND MAIN BRANCH	128 WEST MAIN STREET	RICHMOND	KY	40475	MADISON	UNITED STATES 1764	50	COMMUNITY TRUST BANK, INC.	509811
Full Service	3358690 RUSSELL BRANCH	970 DIEDERICH BOULEVARD	RUSSELL	KY	41169	GREENUP	UNITED STATES 193986	47	COMMUNITY TRUST BANK, INC.	509811
Full Service	3650518 SOMERSET NORTH BRANCH	239 NORTH HIGHWAY 27	SOMERSET	KY	42503	PULASKI	UNITED STATES 189815	75	COMMUNITY TRUST BANK, INC.	509811
Full Service	3358823 SOMERSET SOUTH BRANCH	3809 SOUTH HIGHWAY 27	SOMERSET	KY	42501	PULASKI	UNITED STATES 278636	71	COMMUNITY TRUST BANK, INC.	509811
Full Service	387514 SOUTH WILLIAMSON TUG VALLEY	28160 US HIGHWAY 119	SOUTH WILLIAMSON	KY	41503	PIKE	UNITED STATES 193975	4	COMMUNITY TRUST BANK, INC.	509811
Full Service	353416 VERSAILLES MAIN BRANCH	101 NORTH MAIN STREET	VERSAILLES	KY	40383	WOODFORD	UNITED STATES 238	34	COMMUNITY TRUST BANK, INC.	509811
Full Service	352914 WOODFORD PLAZA BRANCH	480 LEXINGTON ROAD	VERSAILLES	KY	40383	WOODFORD	UNITED STATES 181477	35	COMMUNITY TRUST BANK, INC.	509811
Full Service	295815 PIKE VIRGIE BRANCH	1056 HIGHWAY 610 WEST	VIRGIE	KY	41572	PIKE	UNITED STATES 193973	2	COMMUNITY TRUST BANK, INC.	509811
Full Service	424213 WEST WHITESBURG BRANCH	24 PARKWAY PLAZA LOOP	WHITESBURG	KY	41858	LETCHER	UNITED STATES 238426	42	COMMUNITY TRUST BANK, INC.	509811
Full Service	3358720 WHITESBURG BRANCH	155 MAIN STREET	WHITESBURG	KY	41858	LETCHER	UNITED STATES 10740	40	COMMUNITY TRUST BANK, INC.	509811
Full Service	363611 DOWNTOWN WILLIAMSBURG BRANCH	201 NORTH 3RD STREET	WILLIAMSBURG	KY	40769	WHITLEY	UNITED STATES 1769	25	COMMUNITY TRUST BANK, INC.	509811
Full Service	3069389 WILLIAMSBURG CONVENIENCE CENTER BRANCH	895 SOUTH HIGHWAY 25W	WILLIAMSBURG	KY	40769	WHITLEY	UNITED STATES 194013	26	COMMUNITY TRUST BANK, INC.	509811
Full Service	249715 WINCHESTER MAIN BRANCH	120 SOUTH MAIN STREET	WINCHESTER	KY	40391	CLARK	UNITED STATES 6192	49	COMMUNITY TRUST BANK, INC.	509811
Full Service	3358672 WINCHESTER PLAZA BRANCH	125 WINCHESTER PLAZA	WINCHESTER	KY	40391	CLARK	UNITED STATES 220814	48	COMMUNITY TRUST BANK, INC.	509811
Full Service	2813576 CLINTON OFFICE	2106 CHARLES G. SEIVERS BOULEVARD	CLINTON	TN	37716	ANDERSON	UNITED STATES 205670	99	COMMUNITY TRUST BANK, INC.	509811
Full Service	187031 JACKSBORO BRANCH	2603 JACKSBORO PIKE	JACKSBORO	TN	37757	CAMPBELL	UNITED STATES 205669	98	COMMUNITY TRUST BANK, INC.	509811
Full Service	4138 LAFOLLETTE BRANCH	106 SOUTH TENNESSEE AVENUE	LA FOLLETTE	TN	37766	CAMPBELL	UNITED STATES 3287	97	COMMUNITY TRUST BANK, INC.	509811
Full Service	3446337 MALL OFFICE	2205 JACKSBORO PIKE	LA FOLLETTE	TN	37766	CAMPBELL	UNITED STATES 451712	100	COMMUNITY TRUST BANK, INC.	509811
Full Service	3062223 ALUM CREEK BRANCH	315 MIDWAY ROAD	ALUM CREEK	WV	25003	LINCOLN	UNITED STATES 212135	54	COMMUNITY TRUST BANK, INC.	509811
Full Service	17231 FORT GAY BRANCH	3200 COURT STREET	FORT GAY	WV	25514	WAYNE	UNITED STATES 226022	57	COMMUNITY TRUST BANK, INC.	509811
Full Service	3062250 HAMLIN BRANCH	8049 LYNN AVENUE	HAMLIN	wv	25523	LINCOLN	UNITED STATES 4543	53	COMMUNITY TRUST BANK, INC.	509811
Full Service	3749560 PULLMAN SQUARE BRANCH	952 THIRD AVENUE	HUNTINGTON	WV	25701	CABELL	UNITED STATES 479076	96	COMMUNITY TRUST BANK, INC.	509811
Full Service	788830 SUMMERSVILLE MAIN	507 MAIN STREET	SUMMERSVILLE	WV	26651	NICHOLAS	UNITED STATES 9758	56	COMMUNITY TRUST BANK, INC.	509811
Full Service	2668664 WILLIAMSON BRANCH	101 EAST 2ND AVENUE	WILLIAMSON	WV	25661	MINGO	UNITED STATES 61658	52	COMMUNITY TRUST BANK, INC.	509811

## **Item 3(1): Securities Holders**

The following table sets forth information as to each shareholder known by the Corporation to beneficially own more than five percent of the Common Stock as of December 31, 2016:

	<b>Country of</b>	<b>Number of Shares</b>	
Name and Address	Incorporation	of Common Stock	Percentage
Community Trust and Investment Company as Fiduciary Lexington, Kentucky 40507	USA	1,863,412	10.6%
BlackRock Inc. New York, NY 10022	USA	1,121,639	6.4%

**Item 3(2): Securities Holders** 

N/A

**Item 4: Insiders** 

USA

Name	Principal Occupation if other than Holding Company	Title & Position with Holding Company	Title & Position with Subsidiaries	Title & Position with Other Businesses	Percentage of Voting Shares in Holding Company	Percentage of Voting Shares in Subsidiaries	Names of Other Companies 25% of More Held (Percent of Ownership)
Charles J. Baird	President -	Director	Director,	President – Baird	1.2%	N/A	Baird & Baird,
Pikeville, KY,	Baird and		Community Trust	& Baird, PSC			PSC
USA	Baird, PSC		and Investment				(40%)
			Company		_		
				President –			Eastwood, LLC
				Eastwood, LLC	_		(100%)
				President –			TWG, Inc.
				TWG, Inc.	_		(50%)
				President – True			True Believer,
				Believer, LLC			LLC
					_		(50%)
				President – Cigar			Cigar Bush, LLC
				Bush, LLC			(100%)
Nick Carter Ashland, KY,	Retired	Director	N/A	Retired	(1)	N/A	N/A

Name	Principal Occupation if other than Holding Company	Title & Position with Holding Company	Title & Position with Subsidiaries	Title & Position with Other Businesses	Percentage of Voting Shares in Holding Company	Percentage of Voting Shares in Subsidiaries	Names of Other Companies 25% of More Held (Percent of Ownership)
Jean R. Hale Pikeville, KY, USA	N/A	Chairman, President and CEO	Chairman, President and CEO - Community Trust Bancorp, Inc. and Chairman of the Board - Community Trust Bank, Inc. and Community Trust and Investment Company	None	1.3%	N/A	N/A
James E. McGhee II Pikeville, KY, USA	President - Three JC Investments	Director	N/A	President – Three JC Investments LLC Partner – Land Coal Land Co., LLC Partner – Ryan's Morristown, LLC	(1)	N/A	Three JC Investments LLC (40%) Land Coal Land Co., LLC (50%) Ryan's Morristown, LLC (50%)

Name	Principal Occupation if other than Holding Company	Title & Position with Holding Company	Title & Position with Subsidiaries	Title & Position with Other Businesses	Percentage of Voting Shares in Holding Company	Percentage of Voting Shares in Subsidiaries	Names of Other Companies 25% of More Held (Percent of Ownership)
M. Lynn Parrish	President -	Director	N/A	Director/President	1.0%	N/A	Marwood Land
Pikeville, KY,	Marwood			<ul> <li>Marwood Land</li> </ul>			Co., Inc.
USA	Land			Co., Inc.			(100%)
	Company, Inc.						
				Director/President	-		Wayland Land
				<ul> <li>Wayland Land</li> </ul>			Co., Inc.
				Co., Inc.	_		(100%)
				Director/President	_		M.L. Parrish
				– M.L. Parrish			Family
				Family			Foundation, Inc.
				Foundation, Inc.	_		(100%)
				Member –			Dudley's
				Dudley's			Buildings
				Buildings			Partners, LLC
				Partners, LLC	-		(42.86%)
				Member – Jigsaw			Jigsaw
				Enterprises, LLC	_		Enterprises, LLC (50%)
				Director – Eastern			Eastern Telephone
				Telephone &			& Technologies,
				Technologies, Inc.			Inc.
					_		(33.3%)
				Member –			Boulevard, LLC
				Boulevard, LLC	_		(50%)
				Member – Bit			Bit Source, LLC
				Source, LLC			(50%)

Name	Principal Occupation if other than Holding Company	Title & Position with Holding Company	Title & Position with Subsidiaries	Title & Position with Other Businesses	Percentage of Voting Shares in Holding Company	Percentage of Voting Shares in Subsidiaries	Names of Other Companies 25% of More Held (Percent of Ownership)
Dr. James R. Ramsey Louisville, KY, USA	Retired	Director	N/A	Director – Texas Roadhouse, Inc. Director – Aquila Municipal Trust	(1)	N/A	N/A
Anthony W. St. Charles Cincinnati, OH, USA	President and Chief Executive Officer – The St. Charles Group, LLC	Director	N/A	Partner – Corbitt Graphics, LLC	(1)	N/A	Corbitt Graphics, LLC (50%)
				President – The St. Charles Group, LLC	•		The St. Charles Group, LLC (100%)
James B. Draughn Pikeville, KY, USA	N/A	Executive Vice President	Executive Vice President/ Operations - Community Trust Bank, Inc.	None	(1)	N/A	N/A
James J. Gartner Pikeville, KY, USA	N/A	Executive Vice President	Executive Vice President/Chief Credit Officer - Community Trust Bank, Inc.	None	(1)	N/A	N/A

Name	Principal Occupation if other than Holding Company	Title & Position with Holding Company	Title & Position with Subsidiaries	Title & Position with Other Businesses	Percentage of Voting Shares in Holding Company	Percentage of Voting Shares in Subsidiaries	Names of Other Companies 25% of More Held (Percent of Ownership)
Mark A. Gooch Pikeville, KY, USA	N/A	Executive Vice President and Secretary	Director, President and CEO - Community Trust Bank, Inc. Vice President and Director Community Trust and Investment Company	None	(1)	N/A	N/A
Charles W. Hancock Pikeville, KY, USA	N/A	Executive Vice President	Executive Vice President/ Senior Staff Attorney - Community Trust Bank, Inc.	Partner – Hancock Properties	(1)	N/A	Hancock Properties (50%)
D. Andrew Jones Ashland, KY, USA	N/A	Executive Vice President	Executive Vice President/ Northeastern Region President - Community Trust Bank, Inc.	President – Diedrich Properties	(1)	N/A	Diedrich Properties (100%)
				President – Big Sandy Development President – Machinery Exchange President – Leon Coal Company	-		Big Sandy Development (33.3%) Machinery Exchange (33.3%) Leon Coal Company (33.3%)

Name	Principal Occupation if other than Holding Company	Title & Position with Holding Company	Title & Position with Subsidiaries	Title & Position with Other Businesses	Percentage of Voting Shares in Holding Company	Percentage of Voting Shares in Subsidiaries	Names of Other Companies 25% of More Held (Percent of Ownership)
Larry W. Jones Lexington, KY, USA	N/A	Executive Vice President	Executive Vice President/Central Region President - Community Trust Bank, Inc.	None	(1)	N/A	N/A
Richard W. Newsom Pikeville, KY, USA	N/A	Executive Vice President	Executive Vice President/Eastern Region President - Community Trust Bank, Inc.	None	(1)	N/A	N/A
Ricky D. Sparkman Campbellsville, KY, USA	N/A	Executive Vice President	Executive Vice President/South Central Region President - Community Trust Bank, Inc.	Vice President – Shanrick Properties, Inc.	(1)	N/A	Shanrick Properties, Inc. (50%)
				Member – BSM Enterprises, LLC	- -		BSM Enterprises (33.33%)

Name	Principal Occupation if other than Holding Company	Title & Position with Holding Company	Title & Position with Subsidiaries	Title & Position with Other Businesses	Percentage of Voting Shares in Holding Company	Percentage of Voting Shares in Subsidiaries	Names of Other Companies 25% of More Held (Percent of Ownership)
Kevin J. Stumbo Pikeville, KY, USA	N/A	Executive Vice President, CFO and Treasurer	Executive Vice President/CFO - Community Trust Bank, Inc. Vice President - Community Trust and Investment Company	None	(1)	N/A	N/A
Andy D. Waters Lexington, KY, USA	N/A	Executive Vice President	President and CEO - Community Trust and Investment Company	None	(1)	N/A	N/A

<sup>(1)</sup> Owns less than 1 percent of outstanding shares.